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| 15 | Attorneys for Plaintiff OpenAI, Inc. | | | | | |
| 16 | UNITED STATES | DISTRIC | T COURT | | | |
| 17 | NORTHERN DISTRICT OF CAL | IFORNIA | A, OAKLAND DIVISION | | | |
| 18 | OPENAI, INC., a Delaware corporation, | Case No | o. 4:23-cv-03918-YGR | | | |
| 19 | Plaintiff, | | n. Yvonne Gonzalez Rogers | | | |
| 20 | VS. | | TIFF OPENAI'S NOTICE OF | | | |
| 21 | OPEN ARTIFICIAL INTELLIGENCE, INC., | ERRAT | TA REGARDING REPLY IN ORT OF MOTION FOR SUMMARY | | | |
| 22 | a Delaware corporation, and GUY RAVINE, an individual, | JUDGN | MENT | | | |
| 23 | Defendants. | Date: | June 17, 2025 | | | |
| 24 | | Time: Place: | 2:00 p.m. Courtroom 1 (4th Floor) | | | |
| 25 | AND RELATED COUNTERCLAIMS. | | 1301 Clay St., Oakland, CA 94612 | | | |
| 26 27 | | | | | | |
| 27 | | | | | | |

| 1 | TO THE COURT, ALL PARTIES, AND THEIR ATTORNEYS OF RECORD: | | | | | |
|----|--|--|--|--|--|--|
| 2 | PLEASE TAKE NOTICE that Plaintiff OpenAI, Inc. submits this Notice of Errata regarding | | | | | |
| 3 | its Reply in Support of Motion for Summary Judgment, filed May 14, 2025: | | | | | |
| 4 | Certain supporting documents to Plaintiff's Reply in Support of Motion for Summary | | | | | |
| 5 | Judgment (the "Reply") included inadvertent errors that warrant correction, as detailed below. | | | | | |
| 6 | Response to Defendants' Statement of Additional Material Facts (Dkt. 284): Plaintiff's | | | | | |
| 7 | Response to Defendants' Statement of Additional Material Facts ("RAF") inadvertently contained | | | | | |
| 8 | incomplete or otherwise incorrect references to supporting materials. | | | | | |
| 9 | First, in response to Defendants' Fact 90, Plaintiff cited "Scher Dec. Ex. 13 (Gaer Tr.) at | | | | | |
| 0 | 164:6-13." The correct citation is "Perahia Dec. Ex. 13 (Gaer Tr.) at 164:6-13." | | | | | |
| 1 | Second, in response to Defendants' Fact 92, Plaintiff referenced "Brewer Decl. Ex. 43 ¶ 48." | | | | | |
| 2 | The correct reference is "Brewer Decl. Ex. 43 ¶¶ 7-8." | | | | | |
| 3 | Third, in response to Defendants' Fact 107, Plaintiff cited "(see id. Ex. Q (Dkt. 46-3), Ex. R | | | | | |
| 4 | (Dkt. 46) ¶ 5." The correct citation is "(see id. Ex. R (Dkt. 46-3), Ex. Q (Dkt. 46) ¶ 5." | | | | | |
| 5 | The corrections address those errors for clarification and accuracy. | | | | | |
| 6 | Scher Declaration, Exhibit O (Dkt. 286-3): The version filed under seal of Exhibit O to the | | | | | |
| 7 | Declaration of Dylan I. Scher in Support of Motion for Summary Judgment ("Scher Declaration") | | | | | |
| 8 | inadvertently included a slipsheet labeling the exhibit as "Exhibit N." The underlying document is | | | | | |
| 9 | correct and corresponds to Exhibit O. Plaintiff has refiled the sealed exhibit with the correct | | | | | |
| 20 | slipsheet. | | | | | |
| 21 | | | | | | |
| 22 | | | | | | |
| 23 | | | | | | |
| 24 | DATED: May 21, 2025 QUINN EMANUEL URQUHART & | | | | | |
| 25 | SULLIVAN, LLP | | | | | |
| 26 | By /s/ Margret M. Caruso | | | | | |
| 27 | Margret M. Caruso | | | | | |
| 28 | Attorneys for Plaintiff OpenAI, Inc. | | | | | |
| | -2- Case No. 4:23-cv-03918-YGR | | | | | |

OPENAI'S NOTICE OF ERRATA REGARDING REPLY IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT

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| 16 | Attorneys for Plaintiff OpenAI, Inc. | |
| 17 | UNITED STATES | DISTRICT COURT |
| 18 | NORTHERN DISTRICT OF CAL | JFORNIA, OAKLAND DIVISION |
| 19 | | , |
| 20 | OPENAI, INC., a Delaware corporation, | Case No. 4:23-cv-03918-YGR |
| 21 | Plaintiff, | The Hon. Yvonne Gonzalez Rogers |
| 22 | vs. | [CORRECTED] PLAINTIFF OPENAI, INC.'S RESPONSE TO DEFENDANTS' |
| 23 | OPEN ARTIFICIAL INTELLIGENCE, INC., | STATEMENT OF ADDITIONAL MATERIAL FACTS IN OPPOSITION |
| 24 | a Delaware corporation, and GUY RAVINE, an individual, | TO PLAINTIFF'S MOTION FOR SUMMARY JUDGMENT |
| 25 | Defendants. | Date: June 17, 2025 Time: 2:00 pm |
| 26 | AND RELATED COUNTERCLAIMS. | Place: Courtroom 1 (4th Floor) 1301 Clay Street |
| 27 | | Oakland, California 94612 |
| 28 | | Trial Date: October 20, 2025 |

Case No. 4:23-cv-03918-YGR

PLAINTIFF OPENAI'S RESPONSE TO DEFENDANTS' STATEMENT OF ADDITIONAL MATERIAL FACTS

PLAINTIFF'S RESPONSE TO DEFENDANTS' ADDITIONAL MATERIAL FACTS

Pursuant to the Court's Standing Order in Civil Cases, Plaintiff OpenAI, Inc. ("OpenAI") submits this Response to Defendants' Statement of Additional Material Facts in Opposition to Plaintiff's Motion For Summary Judgment.¹

| ISSUE | DEFENDANTS' ADDITIONAL MATERIAL FACTS | | PLAINTIFF OPENAI'S RESPONSE |
|--------------|---|---|--|
| 1 | Fact 81: Ravine is an entrepreneur who has been involved in various companies | Ravine Decl. ¶¶2-4. | Fact 81 presents an immaterial statement. Because of that, Fact 81 does not raise a genuine issue of material fact for purposes of this motion. |
| | within the tech industry for the last twenty-five years. | | Immaterial: This general statement of Ravine's employment history is immaterial to the |
| | | | validity or timing of Defendants' asserted trademark rights. |
| 1 | Fact 82: In 2012 Ravine launched a tool called | Dkt. 38-2 ¶5; Brewer Decl. Ex. 29; Ravine | Fact 82 presents an immaterial statement. Because of that, Fact 82 does not raise a |
| | "Wikineering," a not- for-profit online | Decl. ¶7, Ex. 2. Tenery | genuine issue of material fact for purposes of this motion. |
| | platform for engineers and researchers to | Decl. Ex. 2 ¶¶73, 77, 111- 113. | Immaterial: Wikineering branding is irrelevant to the validity or timing of Defendants' asserted |
| | collaborate on various ideas and projects. | | trademark rights in the "Open AI" Mark. Ravine's Wikineering tool was not at the open ai domain, and in 2012, it was not |
| | | | operated under the "Open AI" Mark. See RUF 25; Ravine Decl. ¶ 12 (describing 2015 "rebrand[ing]" of Wikineering site |
| | | | "with the mark 'Open AI""). |
| 1 | Fact 83: In mid to late-2014, Ravine | Ravine Decl. ¶10; Tenery | Fact 83 presents an immaterial statement. Because of that, Fact 83 does not raise a |
| | expanded Wikineering by launching an AI- | Decl. Ex. 1 ¶¶72-78, 80- 84; Ex. 2 ¶¶73- 84. | genuine issue of material fact for purposes of this motion. |
| ı ——— | | - ·· | |

As used herein, "SUF" refers to Plaintiff's corrected statement of undisputed fact (Dkt. 242); "RUF" refers to Defendants' corrected response (Dkt. 271) to Plaintiff's corrected statement of undisputed fact (Dkt. 242); "S/RUF" refers collectively to Plaintiff's corrected statement of undisputed fact (Dkt. 242) and Defendants' corrected response (Dkt. 271); "RAF" refers to Plaintiff's response to Defendants' additional material fact herein; and "S/RAF" refers collectively to Defendants' additional material fact and Plaintiff's response thereto herein.

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| 1 2 3 | | specific discussion page on the platform. | | Immaterial: Wikineering branding is irrelevant to the validity or timing of Defendants' asserted trademark rights in the "Open AI" Mark. Ravine's Wikineering tool was not at the |
|-------------|------|--|--|---|
| 4 5 | | | | open.ai domain, and in 2014, it was not operated under the "Open AI" Mark. See RUF 25; Ravine Decl. ¶ 12 (describing |
| 6 | | | | 2015 "rebrand[ing]of Wikineering site "with the mark 'Open AI'"). |
| 7 | 1, 3 | Fact 84: By early | Ravine Decl. | Fact 84 presents an immaterial statement, |
| 8 | | 2015 Ravine began to focus on AI more | ¶12-17; Brewer Decl. | which is unsupported as to "the tool became known as the 'Initial Collaboration |
| 9 | | than generalized engineering issues and on March 25, | Ex. 1 at 17:21- 18:9; 19:17- 20:17; 20:21- | Tool." Because of that, Fact 84 raises no genuine issue of material fact for the purpose of this motion. |
| 10 | | 2015, he rebranded AI content on | 21:10; 27:19- 28:13, Brewer | Immaterial: |
| 11 | | Wikineering as "OpenAI" (in the | Decl. Exs. 62- 63; Belkin | Statements about Ravine's "focus" are immaterial to the validity and timing of |
| 12 | | upper lefthand corner) and the tool | Decl. ¶5; McMurray | Defendants' asserted trademark rights. |
| 13 | | became known as the "Initial Collaboration | Decl. ¶¶5-6; Gaer Decl. | Ravine's "rebranding" of AI content on Wikineering as "OpenAI" is immaterial to |
| 14 | | Tool." | ¶¶5-6; Tenery Decl. Ex. 1 ¶¶80-84. | establishing continuing use in commerce in light of the discontinuation of the Initial Collaboration Tool in February 2016 and |
| 15 | | | | Defendants' conceded non-use of "OpenAI" in commerce between February |
| 16 17 | | | | 2016 and the start of September 2016. <i>See</i> , <i>e.g.</i> , S/RUF 31, 32, 59-61; Ravine |
| 18 | | | | Decl. ¶ 19 (Ravine admitting he "now know[s]" that his sign-up page in |
| 19 | | | | December 2015 was an "improper specimen of [his] use of the Open AI |
| 20 | | | | Mark"). Unsupported: |
| 21 | | | | The cited evidence does not support that the tool "became known" as the Initial |
| 22 | | | | Collaboration Tool except during this litigation. <i>See</i> Gaer Decl. ¶ 5 ("It is my |
| 23 | | | | understanding that the project I saw on the Wikineering site in 2015 has been referred |
| 24 | | | | to as the 'Initial Collaboration Tool' in this lawsuit"). |
| 25 | 1 | Fact 85: Testimony | Brewer Decl., | Fact 85 presents an immaterial and |
| 26 | | (from at least 5 witnesses) and | Ex. 1 at 17:21- 18:9, 19:17- | unsupported statement. Because of that, Fact 85 does not raise a genuine issue of |
| 27 28 | | documents from 2015 confirm that the Initial Collaboration | 20:17; 20:21- 21:10; 27:19- 28:13; 98:9- | material fact for purposes of this motion. |
| 40 | | | 20.13, 70.7- | |

| 1 2 3 4 5 | Tool existed in 2015, prominently featured the OpenAI Mark, and had users. | Immaterial: The display of the OpenAI Mark on the Initial Collaboration Tool is immaterial in light of the discontinuation of the Initial Collaboration Tool in February 2016. See R/SUF 31. Any display of the OpenAI Mark from March 25, 2015 to February 2016 predates Defendants' conceded non-use of "Open AI" in commerce between |
|-----------------------|--|---|
| 6 7 | | February 2016 and the start of September 2016. See, e.g., S/RUF 31, 32, 59-61; Ravine Decl. ¶ 19. Even if the evidence |
| 8 | | showed use in commerce, it would be immaterial to establishing continuous use in commerce. |
| 9 | | Unsupported: The cited evidence does not support that the Initial Collaboration Tool "had users" |
| 11 | | beyond direct employees and associates of Ravine. |
| 12 | | Sergey Belkin and Nikita Gaer were developers hired by Ravine. |
| 13 | | Belkin Decl. ¶¶ 3-6 (Belkin "worked with" and was "hired" by |
| 14 | | Ravine); Gaer Decl. ¶ 3 (Gaer began working for Ravine in "May |
| 15 | | 2014" and "generally worked for him 40 hours per week"); Scher |
| 16 | | Decl. Ex. D (Ravine Tr.) at 10:19- 11:19 (Ravine referring to Gaer as |
| 17 | | an employee). Kirk McMurray "worked on" |
| 18 | | Wikineering with Ravine. McMurray Decl. ¶ 3 ("we worked |
| 19 | | on a number of different projects over the next decade"). |
| 20 | | Deborah Reynolds was Ravine's landlord and an investor in |
| 21 | | Ravine's initiatives, including at least the We Communicate and |
| 22 | | Video.io, and also facilitated others' investments in Ravine's |
| 23 | | projects. Scher Decl. Ex. G |
| 24 | | (Reynolds Tr.) at 64:9-13, 74:24-76:1. Invoices cited by Ravine |
| 25 | | show that Video.io and We Communicate sometimes paid |
| 26 | | expenses related to Ravine's Open AI websites. Scher Decl. Ex. A. |
| 27 | | Ravine has referred to McMurray and Reynolds as Perahia |
| | | Decl. Ex. 10 (Ravine Tr.) at 55:4-22; see also Scher Decl. Ex. G |
| 28 | | (Reynolds Tr.) at 134:18-136:10 |

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|------------------------|----------------|----------------|--------------|
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| - 1 | | | | |
|-----|---|---------------------------------------|--------------------------------|--|
| 1 | | | | (discussing hosting Ravine for holidays, attending birthday parties, |
| 2 | | | | and claiming he has been to her home "probably more than 50" |
| 3 4 | | | | times); 139:2-8 (in 2024, "we checked in with each other once a |
| | | | | month or something"). |
| 5 | | | | None of these witnesses (aside from Ravine) testified that he or she used the |
| 6 | | | | Initial Collaboration Tool for its intended purpose, <i>i.e.</i> to create and share content. |
| 7 | | | | Reynolds testified that the pages she saw |
| 8 | | | | on Wikineering were pages that "he [Ravine] created." Brewer Decl. Ex. 1 at 21:1-4. |
| 9 | | | | The cited documents include screenshots |
| 10 | | | | from the Initial Collaboration Tool (or a similar website) taken by Ravine, and do |
| 11 | | | | not establish that the Initial Collaboration Tool "had users" or was used in |
| 12 | | | | commerce. |
| 13 | 1 | Fact 86: Results from | Tenery Decl. | Fact 86 presents an immaterial |
| 14 | | a forensic analysis confirm that the | Ex. 1 ¶¶72-78, 80-84; Ex. 2 | unsupported statement. Because of that, Fact 86 does not raise a genuine issue of |
| 15 | | websites that hosted the Initial | ¶¶44-46, 64. | material fact for purposes of this motion. |
| 16 | | Collaboration Tool were continuously | | Immaterial: It is immaterial whether websites without |
| 17 | | used from April 2014 through 2016. | | "Open AI" branding and goods and services were "used." There is no |
| 18 | | unough 2010. | | evidence Defendants used "Open AI" in 2014. |
| 19 | | | | It is immaterial whether websites with |
| 20 | | | | "Open AI" branding and goods and services were used before February 2016 |
| 21 | | | | given Defendants' discontinuation of the Initial Collaboration Tool in February |
| 22 | | | | 2016 and Defendants' conceded non-use of "OpenAI" in commerce between February |
| 23 | | | | 2016 and the start of September 2016. <i>See</i> , <i>e.g.</i> , S/RUF 31, 32, 59-61; RAF 84-85; |
| 24 | | | | Ravine Decl. ¶ 19. |
| 25 | | | | Unsupported: |
| 26 | | | | None of the cited evidence supports that the Initial Collaboration Tool was |
| 27 | | | | "continuously used" during this period. To the contrary, the cited forensic report |
| 28 | | | | indicates Defendants' expert was "unable to confirm the appearance of the Initial |
| ۵۵ | | | | Collaboration Tool on the Wikineering |

| 1 | | | | page with the OpenAI brand." Tenery Decl. Ex. 1 ¶ 83. |
|----------|---|---|--|--|
| 2 | | | | The cited evidence does not support |
| 3 | | | | Defendants' asserted continuous use in connection with "Open AI" branding. |
| 4 | | | | Exhibit 1 to the Tenery Declaration |
| 5 | | | | discusses two "unique users" who visited the "Initial Collaboration Tool" between |
| 6 | | | | September 13, 2014 to December 17, 2014 (Tenery Decl. Ex. 1 ¶ 82-83), but it is |
| 7 | | | | undisputed that the Initial Collaboration Tool did not exist in 2014. See S/RAF 83- |
| 8 | | | | 84 (referring to an "AI-specific discussion page on the [Wikineering] platform" in |
| 9 | | | | 2014, which was "rebranded" as Open AI and became what is now referred to as the Initial Collaboration Tool in 2015). |
| 10 | | | | The cited expert reports do not support that |
| 11 12 | | | | any of the approximately 140 US-based users of Wikineering (many of whom visited Wikineering <i>before</i> the Initial |
| 13 | | | | Collaboration Tool was created (<i>compare</i> Tenery Decl. Ex. 1, Exhibit K, Figure 3 |
| 14 | | | | with S/RAF 83-84)) encountered the Initial Collaboration Tool after it became |
| 15 | | | | available on the Wikineering website. |
| 16 | | | | None of the cited evidence supports that the Initial Collaboration Tool was used after February 2016. <i>Cf.</i> S/RUF 31. |
| 17 | | | | |
| 18 | 1 | Fact 87: On March 26, 2015 (i.e., the day | Brewer Decl. Ex. 60; Tenery | Fact 87 presents an immaterial statement. Because of that, Fact 87 does not raise a |
| 19 | | after launching the Open AI-branded | Decl. Ex. 1 at ¶60; Dkt. 38-2 | genuine issue of material fact for purposes of this motion. |
| 20 | | Initial Collaboration Tool), Ravine | at ¶6.; Ravine Decl. ¶13, Exs. | Immaterial: |
| 21 | | purchased the domain name | 4, 5. | The purchase of a domain name is immaterial to the validity and timing of |
| 22 | | "open.ai" to use as a website for his Open | | Defendants' asserted trademark rights. Defendants do not tie the purchase of this |
| 23 | | AI brand. | | domain to any goods or services that were actually offered, much less any existed |
| 24 | | | | after the conceded non-use of Open AI in commerce between February 2016 and the |
| 25 | | | | start of September 2016. <i>See, e.g.</i> , S/RUF 31, 32; 59-61; RAF 84-85; Ravine Decl. |
| 26 | | | | ¶ 19. |
| 27 28 | 1 | Fact 88: Ravine hired Sergey Belkin to design the Open AI | Belkin Decl. ¶4; Ravine Decl. ¶15. | Fact 88 presents an immaterial statement. Because of that, Fact 88 does not raise a |
| 20 | | design the Open At | DCC1. 1J. | |

| - 1 | | | | |
|----------|---|---|-------------------------------|--|
| 1 | | logo and the landing page for the open.ai | | genuine issue of material fact for purposes of this motion. |
| 2 | | website. | | |
| 3 4 | | | | Immaterial: Creation of a logo is immaterial to the validity and timing of Defendants' asserted trademark rights. |
| 5 | | | | |
| 6 | | | | Creation of a landing page and logo for an initiative that was never launched and did not constitute a use in commerce is |
| 7 | | | | immaterial to the validity and timing of Defendants' asserted trademark rights. See |
| 8 | | | | Perahia Decl. Ex. 10 (Ravine Tr.) at 159:4-12 ("Q. When you said in on the page of open.ai, 'Announcement will be made |
| 9 | | | | soon, what kind of announcement were you referring to? A. I was referring to a |
| 10 | | | | wider announcement. The initiative was already in existence. And the wider |
| | | | | announcement I was referring to is, we're going to close a bunch of money from |
| 12 | | | | from Google or some other company. Once that happens, we're going |
| 13 | | | | to make the announcement."), 160:21-25 ("Q. Okay. And so you didn't you |
| 14 | | | | ultimately never did make the |
| 15 | | | | announcement that was referenced in this specimen, right? A. No. We couldn't we didn't get funding, ultimately, for the Open |
| 16 | | | | AI initiative[.]"), Ex. 13 (Gaer Tr.) at 166:4-8 ("Q. When did you next put |
| 17 | | | | something on this website? A. Oh, I don't remember what so I think most of the |
| 18 | | | | time it was this this kind of announcement page."); Dkt. 100 ¶ 125 |
| 19 | | | | ("Relying on these [2015] assurances Ravine stopped pursuing the OpenAI |
| 20 | | | | initiative because as Brockman implied, it |
| 21 | | | | was indeed true that they stole the thunder of the original OpenAI now that there is |
| 22 | | | | one with Musk's \$1 billion in the picture."); see also S/RUF 24, 59-61; RAF |
| 23 | | | | 83-85; Ravine Decl. ¶ 19. |
| 24 | 1 | Fact 89: On or around April 9, 2015, the | Dkt. 38-2 ¶6; Brewer Decl. | Fact 89 presents an immaterial statement. Because of that, Fact 89 does not raise a |
| 25 | | open.ai website went live. | Ex. 23. | genuine issue of material fact for purposes of this motion. |
| 26 | | | | Immaterial: |
| 27 28 | | | | The date and fact that a website "went live" is immaterial to the timing and validity of Defendants' asserted trademark |
| 20 | | | | rights because Defendants do not tie the |

| 1 2 3 4 5 6 7 8 9 10 11 12 | 1 | Fact 90: Duplicate | | cited evidence to any goods or services that were actually offered in commerce. Perahia Decl. Ex. 10 (Ravine Tr.) at 160:21-25 ("Q. Okay. And so you didn't you ultimately never did make the announcement that was referenced in this specimen, right? A. No. We couldn't we didn't get funding, ultimately, for the Open AI initiative[.]"), Ex. 13 (Gaer Tr) at 166:4-8 ("Q. When did you next put something on this website? A. Oh, I don't remember what so I think most of the time it was this this kind of announcement page."); Dkt. 100 ¶ 125 ("Relying on these [2015] assurances Ravine stopped pursuing the OpenAI initiative because as Brockman implied, it was indeed true that they stole the thunder of the original OpenAI now that there is one with Musk's \$1 billion in the picture."); see also S/RUF 24, 59-61; RAF 83-85; Ravine Decl. ¶ 19. |
|--|---|--|--|--|
| 13 | 1 | Fact 90 omitted. | | Two response required. |
| 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 | 1 | Fact 90: Documents and testimony from at least 6 witnesses confirm that the landing page of open.ai prominently displayed the Open AI Mark, explained the purpose of the initiative, and enabled users to sign up via email. | Ravine Decl. ¶15; Belkin Decl. ¶4; Gaer Decl. ¶¶5-7; Iavarone Decl. ¶4; Brewer Decl. Ex. 1 at 24:25-25:7, 28:23-29:7, Ex. 41, Ex. 51 at Response to RFA 3. | Fact 90 presents an immaterial statement. Because of that, Fact 90 does not raise a genuine issue of material fact for purposes of this motion. **Immaterial:** Defendants' described landing page, which was not a use in commerce, is immaterial to the validity or timing of their asserted trademark rights. Perahia Decl. Ex. 10 at 160:21-161:1 ("Q. Okay. And so you didn't you ultimately never did make the announcement that was referenced in this specimen, right? A. No. We couldn't we didn't get funding, ultimately, for the Open AI initiative[.]"), Ex. 13 (Gaer Tr) at 166:4-8 ("Q. When did you next put something on this website? A. Oh, I don't remember what so I think most of the time it was this this kind of announcement page."); Dkt. 100 ¶ 125 ("Relying on these [2015] assurances Ravine stopped pursuing the OpenAI initiative because as Brockman implied, it was indeed true that they stole the thunder of the original OpenAI now that there is one with Musk's \$1 billion in the |

| 1 | | | | picture."); see also S/RUF 24, 59-61; Ravine Decl. ¶ 19. |
|----------|---|--|--------------------------------|--|
| 3 | | | | Defendants' assertion that their landing page "enabled users to sign up via email" |
| 4 | | | | is immaterial to their attempts to show use in commerce. Gaer testified that the "only |
| 5 | | | | functionality available to the public was to insert their e-mail address." Perahia Dec. |
| 6 | | | | Ex. 13 (Gaer Tr.) at 164:6-13. These individuals were not "users" in any |
| 7 | | | | meaningful or commercial sense—they received no product, no communication, |
| 8 | | | | and no service. Defendants' decision to label passive email sign-ups as "users" |
| 9 | | | | illustrates their tendency to stretch basic facts beyond recognition. |
| 10 | 1 | Fact 91: Ravine | Ravine Decl. | Fact 91 presents an immaterial statement. |
| 11 | | pitched Open AI to leaders in the tech | ¶16 & 20; Brewer Decl. | Because of that, Fact 91 does not raise a genuine issue of material fact for purposes |
| 12 | | industry, including, but not limited to, | Ex. 1 at 25:8- 23, Ex. 8 at | of this motion. |
| 13 | | Google's CEO, Siri's co-founder, and | 38:8-39:25, Ex. 42, Ex. 43 | Immaterial: The fact and its cited evidence is |
| 14 | | Stripe's CEO. | ¶¶6-11, 61-63, Ex. 65. | immaterial because it does not connect the pitch activity to any use in commerce or |
| 15 | | | | any good or service ever offered in commerce. It also predates Defendants' |
| 16 | | | | conceded non-use of "Open AI" in commerce between February 2016 and September 2016. <i>See, e.g.</i> , S/RUF 31, 32, |
| 17 | | | | 59-61; RAF 83-85; Ravine Decl. ¶ 19. The pitched initiative never launched. <i>See</i> |
| 18 | | | | S/RUF 25. |
| 19 | 1 | Fact 92: Ravine | Ravine Decl. | Fact 92 presents an immaterial and |
| 20 | | attended various conferences in an | ¶16; Brewer Decl. Exs. 42, | unsupported statement. Because of that, Fact 92 does not raise a genuine issue of |
| 21 | | effort to generate interest in Open AI. | 43 ¶¶7-8 | material fact for purposes of this motion. |
| 22 | | - | | Immaterial: The fact and its cited evidence is |
| 23 | | | | immaterial because it does not connect Ravine's attendance at any conference to |
| 24 | | | | the use in commerce or any good or service ever offered in commerce. The |
| 25 | | | | "initiative" (Brewer Decl. Ex. 43 ¶¶ 7-8) that Ravine attempted to generate interest |
| 26 | | | | in was never launched. See S/RUF 25, 32; Perahia Decl. Ex. 10 (Ravine Tr.) at |
| 27 28 | | | | 160:21-25 ("Q. Okay. And so you didn't you ultimately never did make the announcement that was referenced in this |
| 20 | | | | announcement that was referenced in this |

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| 1 2 3 4 5 | | | | specimen, right? A. No. We couldn't we didn't get funding, ultimately, for the Open AI initiative[.]"); Dkt. 100 ¶ 125 ("Relying on these [2015] assurances Ravine stopped pursuing the OpenAI initiative because as Brockman implied, it was indeed true that they stole the thunder of the original OpenAI now that there is one with Musk's \$1 billion in the picture."). |
|-----------------------|----------|---|--------------------------------|---|
| 6 | | | | Even if the evidence showed use in commerce, it would be immaterial to |
| 7 | | | | establishing continuous use in commerce. The evidence relating to conference |
| 8 9 | | | | attendance predates Defendants' conceded non-use of "Open AI" in commerce between February 2016 and the start of |
| 10 | | | | September 2016. <i>See</i> , <i>e.g.</i> , S/RUF 31, 32, 59-61; RAF 83-85; Ravine Decl. ¶ 19; <i>see also</i> Ravine Decl. ¶ 16 (referring to |
| 11 12 | | | | conference in May 2015); Brewer Decl. Exs. 42, 43 (describing activity in March |
| 13 | | | | and April 2015). |
| 14 | | | | Unsupported: The evidence that Ravine attended a party in connection with the "TED" conference |
| 15 | | | | (Brewer Decl. Ex. 42) does not support that Ravine attended this conference. |
| 16 | | | | Brewer Exhibit 43 does not mention any conferences. At most, these exhibits refer |
| 17 | | | | to a single discussion Ravine had. |
| 18 | 1 | Fact 93: Third party testimony and | Brewer Decl. Ex. 1 at 25:8- | Fact 93 presents an immaterial statement. Because of that, Fact 93 does not raise a |
| 19 | | documents confirm Ravine's efforts to promote the Open AI | 23, Ex. 8 at 38:8-39:25, | genuine issue of material fact for purposes of this motion. |
| 20 | | brand before December 11, 2015. | Ex. 43 ¶¶7-11, Exs. 61-63. | Immaterial: The fact is immaterial to showing |
| 21 22 | | 20000011, 2010. | | continuous use in commerce because Ravine's cited efforts to promote Open AI |
| 23 | | | | predate Defendants' conceded non-use of "Open AI" in commerce between February |
| 24 | | | | 2016 and September 2016. <i>See</i> , <i>e.g.</i> , S/RUF 31, 32, 59-61; RAF 83-85; Ravine |
| 25 | | | | Decl. ¶ 19. |
| 26 | | | | Defendants' fact is immaterial to showing use in commerce because the cited evidence does not establish any promotion |
| 27 | | | | efforts related to any good or service ever offered in commerce. Deborah Reynolds |
| 28 | | | | testified that Ravine gave a presentation about the "Open AI project" to Google. |
| | <u> </u> | | | |

| 1 | | | | Brewer Decl. Ex. 1 (Reynolds Tr.) at 25:8-23. Tom Gruber testified that Ravine |
|----------|------|--|-----------------------------------|--|
| 2 | | | | proposed the Open AI "initiative" to Larry Page, and that he held discussions with |
| 3 | | | | Ravine about the "Open AI initiative." Gruber Decl. ¶¶ 8-9. Brewer Exhibits 62 |
| 4 | | | | and 63 relate to Ravine's "potential idea" for an "AI School." Ravine Decl. ¶ 17. |
| 5 | | | | None of these "projects," "initiatives," or "ideas" were ever launched. Perahia Decl. |
| 6 | | | | Ex. 10 (Ravine Tr.) at 160:21-25 ("Q. Okay. And so you didn't you ultimately |
| 7 | | | | never did make the announcement that was referenced in this specimen, right? A. No. |
| 8 | | | | We couldn't we didn't get funding, ultimately, for the Open AI initiative[.]"); |
| 9 | | | | Dkt. 100 ¶ 125 ("Relying on these [2015] assurances Ravine stopped pursuing the |
| 10 | | | | OpenAI initiative because as Brockman implied, it was indeed true that they stole |
| 11 | | | | the thunder of the original OpenAI now that there is one with Musk's \$1 billion in |
| 12 | | | | the picture."). |
| 13 | | | | The fact of Defendant's 2015 activity is also immaterial to establishing continuous |
| 14 15 | | | | use in commerce because it predates Defendants' conceded non-use of "Open |
| 16 | | | | AI" in commerce between February 2016 and the start of September 2016. See |
| 17 | | | | S/RUF 24, 59-61; RAF 83-85; Ravine Decl. ¶ 19. |
| 18 | 1, 5 | Fact 94: In 2015, | Ravine Decl. | Fact 94 presents an unsupported and |
| 19 | | Ravine spent \$50,000 on his efforts to get | ¶¶16, Exs. 6-9; Gaer Decl. ¶8, | immaterial statement. Because of that, Fact 94 does not raise a genuine issue of |
| 20 | | his Open AI initiative off the ground, | Ex. 1 & ¶10. | material fact for purposes of this motion. |
| 21 | | including software development costs, | | Unsupported: The cited evidence does not support that |
| 22 | | marketing costs, and fundraising activities. | | Ravine's "OpenAI initiative [got] off the ground" or offered goods or services in |
| 23 | | | | commerce. The undisputed evidence shows the OpenAI initiative never "got off the ground". See Dkt. 100 ¶ 125 ("Polying |
| 24 | | | | the ground." See Dkt. 100 ¶ 125 ("Relying on these [2015] assurances Ravine stopped pursuing the Open A Linitiative because as |
| 25 | | | | pursuing the OpenAI initiative because as Brockman implied, it was indeed true that they stole the thunder of the original |
| 26 | | | | OpenAI now that there is one with Musk's \$1 billion in the picture."); Perahia Decl. |
| 27 | | | | Ex. 10 (Ravine Tr.) at 160:21-25 ("Q. Okay. And so you didn't you ultimately |
| 28 | | | | never did make the announcement that was referenced in this specimen, right? A. No. |
| | | <u> </u> | <u> </u> | referenced in this specimen, fight: A. 140. |

| 1 2 | | | | We couldn't we didn't get funding, ultimately, for the Open AI initiative[.]")); see also S/RUF 24, 59-61; RAF 83-85; Ravine Decl. ¶ 19. |
|------------|---|---|------------------------------|--|
| 3 | | | | Immaterial: |
| 5 | | | | Because the initiative never got off the ground, this statement is immaterial to any issue in this motion. |
| 6 | | | | Amounts spent developing software are |
| 7 8 | | | | immaterial to the validity and timing of Defendants' asserted trademark rights or any other issue raised by Plaintiff's |
| | | | | motion. |
| 9 10 | | | | Expenditures made on marketing in 2015 are immaterial to Defendants' claimed rights because Defendants cannot show |
| 11 12 | | | | any continuous use in commerce that began before December 11, 2015 (see, e.g., S/RUF 31, 32). As discussed above, the |
| | | | | 2015 initiative was not launched. |
| 13 14 | | | | The cited bank records from 2015 (Gaer Decl. Ex. 1 at 2-289) do not mention "Open AI" and list the ordering customers |
| 15 | | | | as "We Communicate." and "Upwork Escrow Inc." <i>See also</i> Scher Decl. Ex. A. |
| 16 | 1 | Fact 95: By December 10, 2015, | Tenery Decl. Ex. 1 ¶¶60-66, | Fact 95 presents an immaterial and |
| 17 18 | | Defendants' open.ai website had attracted | 72-78, 80-84; Ex. 2 ¶¶50, | unsupported statement. Because of that, Fact 95 does not raise a genuine issue of material fact for purposes of this motion. |
| | | the attention of a modest but | 74.; Brewer Decl. Ex. 61 | Immaterial: |
| 19 | | proportionally meaningful portion | | It is immaterial that Defendants website "attracted attention" without any showing |
| 20 | | of the AI research community. At least 393 unique users had visited the website, | | of use of goods or service, press mentions, social media posts, or any other evidence |
| 21 | | | | showing association of "Open AI" with a single source of goods. |
| 22 | | and about 149 had signed up with their | | User activity as of December 10, 2015 is |
| 23 | | emails. | | immaterial to establishing Defendants' trademark rights given Defendants' |
| 24 | | | | conceded non-use of "Open AI" in commerce between February 2016 and the |
| 25 26 | | | | start of September 2016. <i>See</i> S/RUF 31, 32, 59-61; RAF 83-85; Ravine Decl. ¶ 19. |
| | | | | Email signups as of December 10, 2015 in |
| 27 28 | | | | connection with an initiative that was never launched are immaterial to use in commerce or the validity of Defendants' |
| | | ı | ı | |

| 1 | asserted trademark rights. See RAF 90; Dkt. 100 ¶ 125 ("Relying on these [2015] |
|----|--|
| 2 | assurances Ravine stopped pursuing the OpenAI initiative because as Brockman |
| 3 | implied, it was indeed true that they stole |
| 4 | the thunder of the original OpenAI now that there is one with Musk's \$1 billion in the picture."); Perahia Decl. Ex. 10 |
| 5 | (Ravine Tr.) at 160:21-25 ("Q. Okay. And |
| 6 | so you didn't you ultimately never did make the announcement that was |
| 7 | referenced in this specimen, right? A. No. We couldn't we didn't get funding, |
| 8 | ultimately, for the Open AI initiative[.]"). |
| 9 | It is immaterial that anyone signed up to receive an email about an announcement that was never made, as there is no |
| 10 | evidence that anyone who signed up ever received an email from Defendants. <i>See id.</i> ; Perahia Decl. Ex. 13 (Gaer Tr.) at |
| 12 | 166:4-9 (Gaer admitting he could not recall whether any email was ever sent to those |
| 13 | addresses). |
| 14 | Unsupported: None of the cited evidence supports that |
| 15 | Defendant's open.ai website received "attention" from a "proportionally |
| 16 | meaningful portion of the AI research community." The cited expert report |
| 17 | reflects that only 41 users who visited open.ai by December 10, 2015 were from |
| 18 | the US. Tenery Decl. Ex. 2 ¶ 74. No evidence is offered that any of these 41 |
| 19 | users were members of the AI research community (as opposed to, for example, |
| 20 | accidental visitors, visits by agents or friends of Ravine, or visits by automated |
| 21 | web scrapers). |
| 22 | None of the cited evidence concerns the size of the AI research community as of |
| 23 | December 10, 2015, or how many AI researchers would constitute a "modest but |
| 24 | proportionally meaningful portion" of this community. |
| 25 | Even if all 41 U.S. users were part of the |
| 26 | AI research community, that is not "a proportionally meaningful portion" of the AI research community as of December |
| 27 | 2015. See, e.g., Lipson Decl. Ex. A, |
| 28 | ¶¶ 12-21; <i>id.</i> at 12 (graph showing that attendance at major AI conferences |
| | |

| 1 2 | | | | exceeded 20,000 people by 2016); Brewer Decl. Ex. 3 at 41:22-42:1 ("But the entire AI community the tens or hundreds of |
|-----|-----|---|----------------------------|---|
| 3 | | | | thousand who write, who are interested in AI, who write code using AI or use AI to solve problems that community was well |
| 4 | | | | aware of of OpenAI [in 2016]"). |
| 5 | | Fact 95: [Fact omitted] [sic] | | No response required. |
| 6 | 1 | Fact 96: Plaintiff had | Brewer Decl. | Undisputed for purposes of this motion. |
| 7 | | settled on the name just days before | Exs. 27, 28, 66, Ex. 11 at | Chaispated for purposes of this motion. |
| 8 | | December 11, 2015, had not filed a | 108:17-24. | |
| 9 | | trademark registration, and had | | |
| 10 | | no goods or services to offer. | | |
| 11 | 1 | Fact 97: On December | Ravine Decl. | Undisputed for purposes of this motion. |
| 12 | | 11, 2015, a colleague who knew about | ¶19, Ex. 10. | |
| 13 | | Ravine's Open AI notified Ravine that | | |
| 14 | | Plaintiff had announced that it was | | |
| 15 | | forming OpenAI, Inc. | | |
| 16 | 1,3 | Fact 98: To protect | Ravine Decl. | Fact 98 is undisputed as to its claim that |
| 17 | | the Open AI brand, Ravine filed an | ¶19; Brewer Decl. Ex. 44. | Ravine filed an application to register "Open AI" on December 11, 2015, but |
| 18 | | application to register the Open AI | | unsupported as to its claim that Ravine had an "Open AI mark" capable of being |
| 19 | | mark with the USPTO that same day without | | registered. Because of that, Fact 98 does not raise a genuine issue of material fact for purposes of this motion. |
| 20 | | assistance of counsel. | | |
| 21 | | | | Unsupported: The cited evidence does not support that |
| 22 | | | | Ravine had trademark rights in OpenAI in December 2015. |
| 23 | | | | The USPTO examiner determined that |
| 24 | | | | Defendant Ravine's December 11, 2015 application "does not show the applied-for |
| 25 | | | | mark in use in commerce" and "does not show the mark used in reference to or in connection with the services in the |
| 26 | | | | application." See S/RUF 59-61; see also |
| 27 | | | | Ravine Decl. ¶ 19. |
| 28 | 1,4 | Fact 99: [Fact omitted] | | No response required. |

| 1 2 | 1, 4 | Fact 100: On December 12, 2015, | Brewer Decl. Ex. 51 at | Fact 100 is undisputed as to Brockman visiting www.open.ai on or around |
|----------|------|--|---------------------------------------|--|
| 3 | | Brockman visited www.open.ai and verified that it was an | Response to RFA 3. | December 15, 2015, but the remainder is immaterial and unsupported. Because of that, Fact 100 does not raise a genuine |
| 4 | | operating website. | | issue of material fact for purposes of this motion. |
| 5 | | | | Unsupported: |
| 6 | | | | The cited evidence reflects that Mr. Brockman visited www.open.ai after |
| 7 | | | | receiving an email from Mr. Ravine with that domain name and saw the webpage |
| 8 | | | | reflected in the Internet Archive's capture of it in December 2015, a copy of which is |
| 9 | | | | attached to the Scher Declaration as Exhibit R (filed at Dkt. 46-3); see also Scher Decl. Ex. Q (Dkt. 46), ¶ 5. As that |
| 10 | | | | image reflects, the website at that time did not reflect use in commerce or any goods or services being offered. <i>See also</i> S/RUF |
| 12 | | | | 59-61; RAF 83-85; Ravine Decl. ¶ 19. This does not support that Mr. Brockman |
| 13 | | | | "verified it was an operating website." |
| 14 | | | | Immaterial: Even if Defendants use "operating" to |
| 15 | | | | mean nothing more than what Mr. Brockman confirmed, it is immaterial to |
| 16 | | | | establishing trademark rights that a website is "operational" if it is not offering goods |
| 17 | | | | and services or promoting goods and services that are eventually offered—which |
| 18 | | | | the December 15, 2015 website was not doing. See RAF 90; Dkt. 100 ¶ 125 |
| 19 | | | | ("Relying on these [2015] assurances Ravine stopped pursuing the OpenAI |
| 20 | | | | initiative because as Brockman implied, it was indeed true that they stole the thunder |
| 21 | | | | of the original OpenAI now that there is one with Musk's \$1 billion in the |
| 22 | | | | picture."); Perahia Decl. Ex. 10 (Ravine Tr.) at 160:21-25 ("Q. Okay. And so you |
| 23 | | | | didn't you ultimately never did make the announcement that was referenced in this |
| 24 | | | | specimen, right? A. No. We couldn't we didn't get funding, ultimately, for the Open |
| 25 | | | | AI initiative[.]"); S/RUF 59-61; RAF 83-85; Ravine Decl. ¶ 19. |
| 26 | 1, 4 | Fact 101: On | Brewer Decl. | Undisputed for purposes of this motion. |
| 27 28 | | December 16, 2015, Brockman met Ravine in person and | Ex. 11 at 69:19-70:5, Ex. 30, Ex. 55; | · - |
| 20 | | asked Ravine to | | |

| 1 2 3 | | change his venture's name to something other than Open AI—but Ravine | Ravine Decl. ¶¶20-21. | |
|--|------|--|--|--|
| 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 | 1, 4 | other than Open | Brewer Decl. Ex. 30. Tenery Ex. 1 ¶63 (17,384 interactions, 2,629 unique users, 998 email signups). | Fact 103 presents an immaterial statement. Because of that, Fact 103 does not raise a genuine issue of material fact for the purpose of this motion. Immaterial: User activity as of April 2016 is immaterial to establishing Defendants' trademark rights given Defendants' conceded non-use of "Open AI" in commerce between February 2016 and the start of September 2016. See S/RUF 31, 32, 59-61; RAF 83-85; Ravine Decl. ¶ 19. As such, even if the evidence showed use in commerce, it would be immaterial to establishing continuous use in commerce. Verified email signups as of April 2016 in connection with an initiative that was never launched are immaterial to the validity of Defendants' asserted trademark rights. See RAF 90; Dkt. 100 ¶ 125 ("Relying on these [2015] assurances Ravine stopped pursuing the OpenAI initiative because as Brockman implied, it was indeed true that they stole the thunder of the original OpenAI now that there is one with Musk's \$1 billion in the picture."); Perahia Decl. Ex. 10 (Ravine Tr.) at 160:21-25 ("Q. Okay. And so you didn't you ultimately never did make the announcement that was referenced in this specimen, right? A. No. We couldn't we didn't get funding, ultimately, for the Open AI initiative[.]"); see also S/RUF 59-61; Ravine Decl. ¶ 19. |
| 28 | | | | " |

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| 1 | | | | It is immaterial that anyone signed up to receive an email about an announcement |
|----|---|---|-------------------------------|---|
| 3 | | | | that was never made, as there is no evidence that anyone who signed up ever received an email from Defendants. <i>See</i> |
| 4 | | | | Perahia Decl. Ex. 10 (Ravine Tr.) at 160:21-25, Ex. 13 (Gaer Tr.) at 164:6-13 |
| 5 | | | | (Gaer admitting he could not recall whether any email was ever sent to those addresses). |
| 6 | | | | The cited evidence concerning the number |
| 7 | | | | of "interactions" a website had is not material to establishing Defendants' |
| 8 | | | | asserted trademark rights. Mr. Tenery's measure of "interactions" is not a proxy for |
| 9 | | | | web traffic, the number of registered or unregistered users, or the number of times the claimed services offered by any |
| 11 | | | | website were used; rather, it includes any "requests made of the web server," which |
| 12 | | | | separately counts whenever a server loads asset files, such as an image, script, or |
| 13 | | | | stylesheet that is contained within a broader webpage. Mr. Tenery testified that |
| 14 | | | | "a single web page visit" by one user "could generate 10 or more interactions in |
| 15 | | | | [his] counts." Scher Decl. Ex. I (Tenery Tr.) at 138:23-139:1. |
| 16 | 1 | Fact 104: Hub had | Ravine Decl. | Fact 104 presents an immaterial and |
| 17 | | numerous features, for collaboration and | ¶26; Response to Facts 33-35. | unsupported statement. Because of that, Fact 104 does not raise a genuine issue of |
| 18 | | sharing, and cost \$45,000 to develop. | 1014045 33 33. | material fact for the purpose of this motion. |
| 19 | | | | Immaterial: |
| 20 | | | | Development costs associated with creating a website and the numerosity of its |
| 21 | | | | features are immaterial to Defendants' trademark rights, which require actual, |
| 22 | | | | bona fide use. |
| 23 | | | | Unsupported: The cited evidence does not support that |
| 24 | | | | Hub cost \$45,000 to develop. Ravine "approximat[es]" that he paid \$45,000 to |
| 25 | | | | Nikita Gaer to develop Hub. Ravine Decl. ¶ 25. Defendants' fact is not similarly |
| 26 | | | | qualified. |
| 27 | | | | The bank records submitted by Gaer (Gaer Decl. Ex. 1) do not mention "Open AI"; most records from 2015 through |
| 28 | | | | November 2016 list the ordering customer |

| 1 2 3 | | | | as "We Communicate" (e.g., <i>id.</i> at 27, 30, 33, 36, 39), with records from December 2016 listing the ordering customer as "Upwork Escrow Inc." (<i>e.g.</i> , <i>id.</i> at 90, 93, |
|----------|------|--|---------------------------------|---|
| 4 | | | | 96, 99, 102, 105). <i>See also</i> Scher Decl. Ex. A. |
| 5 | 1, 4 | Fact 105: On January 5, 2017, the USPTO | Brewer Decl. Ex. 7 at 107:1- | Fact 105 is undisputed as to Plaintiff's abandonment of its 2016 application |
| 6 | | issued a non-final Office Action | 3, 11-18, 108:3-19, | following the USPTO's January 5, 2017 non-final office action, but the remainder |
| 7 | | rejecting Plaintiff's 2016 trademark | 110:23-111:2, 111:8-17; Ex. | of Fact 105 is immaterial and unsupported. Because of that, Fact 105 does not raise a |
| 8 | | application, partially on the grounds that | 19 at 4-30. | genuine issue of material fact. |
| 9 | | there was a "likelihood of confusion" with | | Unsupported: The cited evidence does not support Defendant's fact. Brewer Exhibit 19 |
| 10 | | Ravine's Open AI Mark and then | | reflects that the USPTO office action stated that Ravine's "pending application |
| 12 | | Plaintiff abandoned the application. | | may present a bar to registration," such that "[i]f the mark in the referenced application |
| 13 | | | | registers, applicant's mark <i>may</i> be refused because of a likelihood of confusion." Brewer Decl. Ex. 19 at 6 (emphasis |
| 14 | | | | added). It stated that Plaintiff "may present arguments in support of |
| 15 | | | | registration by addressing the issue of the potential conflict between applicant's mark |
| 16 | | | | and the mark in the referenced application, and that Plaintiff's "election not to submit |
| 17 18 | | | | arguments at this time in no way limits applicant's right to address this issue later." <i>Id.</i> Nothing in the cited testimony (Brewer Ex. 7) contradicts this. |
| 19 | | | | Immaterial: |
| 20 | | | | The cited evidence is immaterial to the timing or validity of Defendants' asserted |
| 21 | | | | trademark rights or Plaintiff's laches defense. <i>See</i> SUF 31-34, 58-63, 69, 71-77; |
| 22 | | | | McCurry Decl. ¶ 6 ("Nothing in my investigation showed that Guy Ravine had |
| 23 | | | | made a use in commerce of the 'Open AI' mark in March of 2015 or at any time before I filed OpenAI's applications with |
| 24 | | | | the PTO to register its 'Open AI' trademarks."). |
| 25 | 1 4 | E 4106 I | D D 1 | , |
| 26 27 | 1, 4 | Fact 106: In response to the Office Action, Plaintiff's then-COO | Brewer Decl. Ex 58. | Undisputed for purposes of this motion. |
| 28 | | Chris Clark emailed Brockman, asking | | |

| 1 2 3 4 5 6 7 8 9 | 1, 4 | about Ravine's trademark. Brockman responded: "Yes, I've met him. He's a bit weird. Had been trying to make open.ai into a thing. May be willing to give up on open.ai now though." Fact 107: Brockman visited Ravine's open.ai website and confirmed that it could still be accessed but took no action to contact Ravine or the | Brewer Decl. Ex 11 at 87:12-19. | Fact 107 presents an immaterial statement. Because of that, Fact 107 does not raise a genuine issue of material fact for the purpose of this motion. Immaterial: The fact that Defendants' website could be "accessed" in March 2017 is immaterial to |
|---|------|--|---------------------------------------|--|
| 11 | | USPTO about Ravine's trademark. | | Defendants' trademark rights or Plaintiff's laches defense. Scher Decl. Ex. B (Brockman Tr.) at 87:8-15, 87:25-88:6. At |
| 13 | | | | that time, the open.ai website displayed "the same thing that had been there before" |
| 14 | | | | (<i>id.</i> at 87:13-15); <i>i.e.</i> , the open.ai landing page in December 2015 (<i>see id.</i> Ex. R (Dkt. 46-3), Ex. Q (Dkt. 46) ¶ 5 ("The |
| 15 | | | | appearance of the webpage when I visited Mr. Ravine's webpage in December 2015 |
| 16 | | | | was consistent with Mr. Ravine only having 'idea[s]', not a product or service |
| 17 | | | | he was actually offering.")). Without evidence that Brockman knew of Ravine's use in commerce, it is immaterial that he |
| 18 | | | | "took no action" about Ravine's claimed trademark. |
| 19 | | | | |
| 20 | 1, 4 | Fact 108: When Plaintiff filed its | Brewer Decl. Ex. 7 at 70:11- | Fact 108 is undisputed as to Plaintiff being aware of the fact of Defendants' |
| 21 | | January 26, 2022 trademark | 21, 89:2-90:19 | Supplemental Registration and open.ai webpage on January 26, 2022, but the |
| 22 | | applications, it knew about Ravine's | | remainder is immaterial and unsupported. Because of that, Fact 108 does not raise a genuine issue of material fact for purposes |
| 23 | | registration and that Defendants' open.ai website and | | genuine issue of material fact for purposes of this motion. |
| 25 | | subdomains were still in use. | | Immaterial: That a trademark attorney acting on behalf |
| 26 | | | | of Plaintiff OpenAI became aware of Defendants' registration and certain |
| 27 | | | | webpages in December 2021 (see Scher Decl. Ex. C (McCurry Tr.) at 12:13-13:6, |
| 28 | | | | 37:17-20) is immaterial to Defendants' rights or Plaintiff's laches defense— |

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| 1 2 3 4 5 6 | | | | particularly because she did not believe that Defendants' websites were being used in commerce. See McCurry Decl. ¶ 6 ("Nothing in my investigation showed that Guy Ravine had made a use in commerce of the 'Open AI' mark in March of 2015 or at any time before I filed OpenAI's applications with the PTO to register its 'Open AI' trademarks."); Brewer Decl. Ex. 7 at 90:2-7 (content on hub.open.ai "appeared to copy posts from the website GitHub"). |
|----------------------------|------|--|--|--|
| 8 9 10 | | | | Unsupported: The cited evidence does not support that Defendants' open.ai website and subdomains were used in commerce, much less that Plaintiff knew of it. <i>Cf.</i> SUF 33, 34, 62. |
| 11 | | | | The cited testimony does not support that |
| 12 | | | | McCurry-or anyone else associated with Plaintiff-was aware of more than one |
| 13 | | | | "subdomain" of open.ai on January 26, 2022 (let alone all of its subdomains). The |
| 14 15 | | | | only subdomain discussed in the cited testimony is hub.open.ai, which Ravine's specimen identified. <i>See</i> SUF 62; <i>see also</i> SUF 33, 34. |
| 16 | 1 4 | Fact 100. After | Danswar Dani | · |
| 17 | 1, 4 | Fact 109: After Altman failed to purchase Ravine's | Brewer Decl. Ex. 7 at 102:3- 16, 122:2-25; | Fact 109 presents an immaterial and unsupported statement. Because of that, Fact 109 does not raise a genuine issue of |
| 18 | | "IP rights," Plaintiff continued to monitor | 123:7-9; 124:23- | material fact for the purpose of this motion. |
| 19 | | Defendants' website and tools. | 125:24;126:10- 131:4, 135:6- | Immaterial: |
| 20 | | | 138:15, Ex. 18, Ex. 26. | The fact that an attorney representing Plaintiff visited one of Ravine's webpages |
| 21 | | | | at some point in 2022 is immaterial to any party's trademark rights or laches. |
| 22 | | | | Rebecca McCurry, the attorney whose testimony (Brewer Ex. 7) and documents |
| 23 | | | | (id., Ex. 18, 26) are cited, did not believe that Ravine's website or tools were being used in commerce. McCurry Decl. ¶ 6 |
| 24 | | | | used in commerce. McCurry Decl. ¶ 6 ("Nothing in my investigation showed that Guy Ravine had made a use in commerce |
| 25 | | | | of the 'Open AI' mark in March of 2015 or at any time before I filed OpenAI's |
| 26 27 | | | | applications with the PTO to register its 'Open AI' trademarks."); see Scher Decl. |
| 28 | | | | Ex. C (McCurry Tr.) at 101:20-102:16, 134:23-135:15 (testifying regarding Exs. |
| | | | | 18 & 26). |

| 1 | | | | Unsupported: |
|--|---------|---|---------------------------------------|---|
| $\begin{vmatrix} 2 \\ 2 \end{vmatrix}$ | | | | Brewer Exhibit 26 does not reflect any "monitoring" of "Defendants' website or |
| 3 4 | | | | tools," but information from a Google search. Neither does Brewer Ex. 18, which |
| 5 | | | | reflects a February 2022 screen capture of the hub.open.ai webpage identified in |
| 6 | | | | Defendants' 2016 PTO specimen. None of the cited exhibits establishes a |
| 7 | | | | pre-existing "monitor[ing]" of Defendants' website or tools that was "continued." |
| 8 | 1 2 4 | E 4110 DI : ('66 1'1 | D | |
| 9 | 1, 3, 4 | Fact 110: Plaintiff did not consistently and | Response to Fact 4; | Fact 110 presents an immaterial and unsupported statement. Because of that, |
| 10 | | prominently display its OpenAI Mark in connection withs its | Chiagouris Decl. ¶¶26-28 & Ex. 1¶¶56- | Fact 110 does not raise a genuine issue of material fact for the purpose of this motion. |
| 11 | | most popular products, DALL-E | 63, Ex. 2 ¶¶23- 29, ¶¶36-39; | Immaterial: |
| 12 | | and ChatGPT. | Brewer Decl. Exs. 33, 35, | Whether Plaintiff "consistently" (as opposed to continuously) and |
| 13 | | | 36, 56, 57, 59 (no OpenAI | "prominently" displayed its mark in connection with ChatGPT and DALL E 2 |
| 14 | | | branding). | (or DALL·E) is immaterial to whether Plaintiff used its mark in commerce, |
| 15 | | | | whether the mark acquired secondary meaning at the relevant time, laches, or |
| 16 | | | | Defendants' fraudulent registration. |
| 17 | | | | The cited evidence does not support the stated fact. It reflects isolated examples |
| 18 | | | | from which Defendants and their expert argue the OpenAI Mark was not displayed |
| 19 | | | | "consistently" or "prominently" at a given moment. Defendants mischaracterize the |
| 20 | | | | evidence they rely upon, stating the mark is not displayed when it is, and providing |
| 21 | | | | incomplete portions of documents. <i>See</i> Scher Decl. ¶¶ 20-23, Exs. S, T. |
| 22 | | | | From the initial launch of DALL·E 2 and |
| 23 | | | | ChatGPT, Plaintiff has continuously used the OpenAI Mark in connection with |
| 24 | | | | DALL·E 2 and ChatGPT. See, e.g., Brockman Decl. Ex. B at 316 (DALL·E 2 Blog Post); Dyett Decl. Ex. K at 6 |
| 25 | | | | (ChatGPT Blog Post); Ex. L at 1, 2 (ChatGPT Plus Blog Post); see generally |
| 26 | | | | Brockman Decl. Ex. B; SUF 4. |
| 27 | | | | |

| 1 2 | 1 | Fact 111: Defendants spent an estimated \$2,000,000 on their | Ravine Decl. ¶16, 22, 26, 29, 32, 36, 41, | Fact 111 presents an immaterial and unsupported statement. Because of that, Fact 111 does not raise a genuine issue of |
|----------|-------|--|--|---|
| 3 | | Open AI business. Defendants spent \$50,000 on | 43; Brewer Decl. Ex. 9 at 44:19-45:12, | material fact for the purpose of this motion. |
| 4 | | marketing before | Exs. 45-49; | Immaterial: |
| 5 | | December 11, 2015, \$45,000 on work | Gaer Decl. ¶¶8-10 & Exs. | Amounts spent developing software do not establish use in commerce and are not |
| 6 | | related to OpenAI in 2016, \$15,000 | 1-2; Belkin ¶7; Iavarone Decl. | material to Defendants' trademark rights. |
| 7 | | developing Hub; \$15,000 developing Evolved; \$100,000 | ¶11 & Ex. 1. | Amounts spent on marketing before December 11, 2015 are immaterial to proving continuous use in commerce as |
| 8 | | developing Decentralized; | | that predates Defendants' conceded non- use of "Open AI" in commerce between |
| 9 | | \$1,000,000 developing Boom, | | February 2016 and September 2016. <i>See</i> , e.g., S/RUF 31, 32, 59-61; RAF 83-85; |
| | | and \$20,000 developing its | | Ravine Decl. ¶ 19. |
| 11 12 | | precursor woahhh! | | Unsupported: The cited evidence does not support that Defendants "spent \$50,000 on marketing |
| 13 | | | | before December 11, 2015." Defendant Ravine estimated that he spent \$50,000 "on activities related to <i>the development</i> |
| 14 | | | | and marketing of my Open AI business" in |
| 15 | | | | 2015. Ravine Decl. ¶ 16 (emphasis added). Ravine establishes, at most \$1,000 in marking costs in 2015. <i>See id.</i> & Exs. |
| 16 | | | | 8, 9; RAF 94. None of the other cited evidence references any marketing |
| 17 | | | | expenses. |
| 18 | | | | The cited invoices and bank records (Gaer Decl. Exs. 1-2; Iavarone Decl. Ex. 1) do not |
| 19 | | | | mention "Open AI" or "Open Artificial Intelligence" until November 2022. See |
| 20 | | | | also Scher Decl. Ex. A. Only one of the tax documents for Yedam Rachel Park |
| 21 | | | | mentions "Open AI" or "Open Artificial |
| 22 | | | | Intelligence." See id. |
| 23 | 1, 2, | Fact 112: | Chiagouris Decl. ¶¶15-23, | Fact 112 presents an immaterial and an unsupported legal conclusion. Because of |
| 24 | | | 32-33, Ex. 1 at ¶¶28-50 & 65 | that, Fact 112 does not raise a genuine issue of material fact for the purpose of |
| 25 | | | & Ex. 2 at ¶¶25-28, 34, | this motion. |
| 26 | | | 39; Brewer Decl. Exs. 37 | Immaterial: Whether Plaintiff's OpenAI mark had |
| 27 | | | (" | secondary meaning as of the date this lawsuit was filed is not material to any |
| 28 | | | | issues raised in Plaintiff's motion, |

| 1 | | | | including the seniority of its trademark rights. |
|--|------|--|----------------------------|---|
| 2 | | | | Unsupported: |
| 3 | | | | Defendants' cited evidence fails to support an absence of secondary meaning because |
| 4 | | | | their evidence and conclusions are not based on the relevant universe for |
| 5 | | | | determining secondary meaning—i.e., Plaintiff's consumers—or the relevant |
| 6 | | | | question for determining secondary meaning—i.e., whether Plaintiff's |
| 7 | | | | consumers recognize "OpenAI" as an indicator of source versus a description of |
| 8 | | | | goods and services—not whether the general population can name who created |
| 9 10 | | | | ChatGPT or immediately call to mind the name "OpenAI" in connection with AI |
| | | | | products and companies. <i>See</i> Reply, Section I.A. |
| 11 | | | | |
| 12 | | | | |
| 13 | | | | |
| 14 | 1, 2 | Fact 113: Plaintiff did not consistently and | Brewer Decl. Ex. 14; | Fact 113 presents an immaterial and unsupported statement. Because of that, |
| 15 16 | | prominently display the OpenAI Mark in | Chiagouris Decl. Ex. 1 | Fact 113 does not raise a genuine issue of material fact for the purpose of this |
| 17 | | association with its goods and services or | ¶¶56-64, Ex. 2 ¶¶13-39; | motion. |
| 18 | | promotion thereof. | Response to Fact 4. | Immaterial: Whether Plaintiff "consistently" (as |
| 19 | | | | opposed to continuously) and "prominently" displayed its mark is |
| 20 | | | | immaterial to whether Plaintiff's mark acquired secondary meaning or the issue of |
| $\frac{20}{21}$ | | | | confusion. |
| $\begin{bmatrix} 21 \\ 22 \end{bmatrix}$ | | | | Unsupported: The evidence Defendants cite does not |
| | | | | support their stated fact. Defendants and their expert provide a handful of examples |
| 23 | | | | (spanning at least a 5-year time period) in which they contend the OpenAI Mark was |
| 24 | | | | not displayed "consistently" or "prominently" at a given moment. This |
| 25 | | | | mischaracterizes the evidence they rely upon. See Scher Decl.¶¶ 20-23, Exs. S, T. |
| 26 | | | | Contradicted by the evidence: |
| 27 28 | | | | Plaintiff has continuously used the OpenAI mark in connection with its products, and it has prominently displayed the mark—i.e., in |
| | | | | nas prominently displayed the mark-i.e., iii |

| 1 2 | | | | a manner that users and likely users are likely to see it. <i>See</i> , <i>e.g.</i> , Scher Decl.¶¶ 20-23, Exs. S, T; Brockman Decl. |
|----------|------|---|--|---|
| 3 | | | | Ex. B; Dyett Decl. Ex. K, L; see generally SUF 4. |
| 5 | 1, 2 | Fact 114: Plaintiff's OpenAI Mark did not | Chiagouris Decl. & Exs. | Fact 114 presents an immaterial and unsupported legal conclusion. Because of |
| 6 | | have secondary meaning by August 4, 2023. | 1-2; Ex. 6 at 25:3-5, 25:12-26:6. | that, Fact 114 does not raise a genuine issue of material fact for the purpose of this motion. |
| 7 8 | | | | Immaterial: Whether Plaintiff's OpenAI mark had |
| 9 | | | | secondary meaning by August 4, 2023 is immaterial to any issues raised in Plaintiff's motion, including the seniority of its trademark rights. |
| 11 | | | | Unsupported: The evidence Defendants cite is |
| 12 13 | | | | insufficient to support their stated "fact." As the Chiagouris Declaration does not contain an Exhibit 6, presumably |
| 14 | | | | Defendants intend to cite to Exhibit 6 of the Brewer Declaration, but the cited testimony of Plaintiff's confusion survey |
| 15 | | | | expert that he did not <i>also</i> conduct a secondary meaning survey does not |
| 16 | | | | support Defendants' claimed "fact." |
| 17 18 | | | | As discussed in RAF 112, <i>supra</i> , the Chiagouris Report is immaterial to the issue of secondary meaning |
| 19 | | | | |
| 20 | | | | |
| 21 | | | | "prominence" of branding. |
| 22 | | | | To the extent the Chiagouris Declaration |
| 23 | | | | offers opinions beyond those expressed in his reports, it is improper. |
| 24 | | | | Contradicted by the evidence: Plaintiff's OpenAI mark did acquire |
| 25 | | | | secondary meaning by August 4, 2023. See generally SUF 1-21. |
| 26 | | E 4115 E 0 | 1 15 1 | , |
| 27 28 | | Fact 115: The Open AI Mark is suggestive. | Leonard Decl. Ex. 1; Brewer Decl. Ex. 15 ¶26, Ex. 11 at | Fact 115 presents an unsupported legal conclusion, which is also untimely because it was not included in Defendants' April 30, 2025 filing. Because of that, Fact 115 |
| -~ [| L | <u> </u> | ,,,,, ut | 10, 2020 mmg. Doomsto of man, 1 act 110 |

| 1 | 39:24-40:9, 41:1-9, | does not raise a genuine issue of material fact for the purpose of this motion. |
|-----|----------------------------------|--|
| 2 3 | 104:17-105:7; Ex. 5 at 93:16- | Unsupported: |
| 4 | 94:14. | The evidence Defendants cite is insufficient to support their stated "fact," |
| 5 | | which improperly contradicts Ravine's prior declaration and Defendants' own counterclaims, which describe Defendants |
| 6 | | as operating "a literally open AI company." Dkt. 100 ¶ 189; SUF 45. |
| 7 | | The Leonard report fails to provide an |
| 8 | | opinion that addresses the relevant legal standard for suggestiveness, as it |
| 9 | | improperly focuses on the definition of "open" to mean "open source." <i>See</i> Leonard Decl. Ex. 1 at ¶¶ 63-64. In |
| 10 | | addition, Leonard does not have the technological credentials required to assess whether "open source" describes any |
| 12 | | quality or characteristic of Defendants' goods and services (id. ¶ 2 (he was |
| 13 | | "informed by counsel for Defendants that the products/services provided by |
| 14 | | Defendants were not literally open-source nor non-proprietary"), and he ignored |
| 15 | | evidence of Defendants' own use of "open" to describe their goods and |
| 16 | | services. |
| 17 | | Testimony that there are multiple meanings of "open" and not one "universally |
| 18 | | accepted definition or understanding" (Brewer Decl. Ex. 5 at 93:16-94:14), and |
| 19 | | that "open" is "open-ended in terms of how you could interpret it," and does not |
| 20 | | correspond to a single "particular meaning," but rather has "many meanings |
| 21 | | in the dictionary" (<i>id.</i> , Ex. 11 at 39:24-40:9, 41:1-9, 42:1-9, 104:17-105:7) does |
| 22 | | not support the legal conclusion of non- descriptiveness—i.e., that no meaning of |
| 23 | | "open" describes the qualities or characteristics of Defendants' goods and services. |
| 24 | | Contradicted by the evidence: |
| 25 | | SUF 45, S/RUF 46 (admitting that the USPTO found the "Open AI" mark |
| 26 | | descriptive); McCurry Decl. ¶ 3, Ex. A (USPTO 3/22/2017 Office Action stating |
| 27 | | "registration is refused because the applied-for mark merely describes a |
| 28 | | feature of applicant's services"). |

tase 4:23-cv-03918-YGR Document 287-1 Filed 05/21/25 Page 26 of 26

Exhibit O

Scher Declaration
ISO Plaintiff's Motion
for Summary
Judgment

FILED UNDER SEAL

EXHIBIT 13

Perahia Declaration ISO
Plaintiff's Motion for
Summary Judgment

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1
                IN THE UNITED STATES DISTRICT COURT
 2
              FOR THE NORTHERN DISTRICT OF CALIFORNIA
 3
                          OAKLAND DIVISION
 4
 5
      OPENAI, INC., a Delaware corporation,
               Plaintiff,
 6
 7
                                               ) Case No.
         v.
                                              ) 4:23-cv-03918-YGR
      OPEN ARTIFICIAL INTELLIGENCE, INC., a )
 8
      Delaware corporation; and GUY RAVINE,
 9
      an individual,
10
               Defendants.
11
      OPEN ARTIFICIAL INTELLIGENCE, INC., a
12
      Delaware corporation; and GUY RAVINE,
      an individual,
13
               Counterclaimants,
14
         v.
15
      OPENAI, INC., a Delaware corporation;
      SAMUEL ALTMAN, an individual; and
16
      GREGORY BROCKMAN, an individual,
17
               Counterclaim-Defendants.
18
      AND RELATED COUNTERCLAIMS.
19
20
                     *** HIGHLY CONFIDENTIAL***
21
                    *** ATTORNEYS' EYES ONLY ***
22
                     DEPOSITION OF NIKITA GAER
23
                          DECEMBER 6, 2024
24
25
      REPORTED BY: RENEE HARRIS, CSR 14168, CCR, RPR
      JOB NO. 7055662; PAGES: 1 - 282
                                                         Page 1
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| 1 | Mr. Ravine, we just need you to identify | |
|----|---|----------|
| 2 | yourself. | |
| 3 | MR. RAVINE: Yeah. My name is Guy | |
| 4 | Ravine. I'm a named plaintiff and defendant | |
| 5 | in this lawsuit. | 08:12:51 |
| 6 | THE VIDEOGRAPHER: Okay. Thank you so | |
| 7 | much. | |
| 8 | Whenever you're ready, Renée. | |
| 9 | | |
| 10 | NIKITA GAER, | |
| 11 | called as a witness and having been first duly | |
| 12 | sworn by the Certified Shorthand Reporter, was | |
| 13 | examined and testified as follows: | |
| 14 | | |
| 15 | EXAMINATION | 08:13:21 |
| 16 | BY MR. WILSON: | |
| 17 | Q. Mr. Gaer, can you tell us what the | |
| 18 | appropriate pronunciation of your last name is. | |
| 19 | A. Gaer. Gaer. | |
| 20 | Q. Okay. | 08:13:29 |
| 21 | A. Yeah. | |
| 22 | Q. And can you tell us where you are in | |
| 23 | Kazakhstan at the moment? | |
| 24 | A. I'm in a city called Uralsk. | |
| 25 | Q. And how do you do you know how you | 08:13:41 |
| | | Page 11 |

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| 1 | working on artificial intelligence project was |
|----|---|
| 2 | 2015. |
| 3 | Q. Okay. And what was that project? |
| 4 | A. It was Open AI Initiative. |
| 5 | Q. And what did you do in your first project 08:24:22 |
| 6 | for the Open AI Initiative? |
| 7 | A. I developed this website with |
| 8 | announcement, like web announcement page. |
| 9 | Q. Do you recall when in 2015 you did that? |
| 10 | A. I believe it was April. 08:24:39 |
| 11 | Q. Okay. Before you started working on the |
| 12 | website, did you have a discussion with Mr. Ravine |
| 13 | about what this website was going to do? |
| 14 | A. Yes. |
| 15 | Q. Do you recall anything about that 08:25:00 |
| 16 | discussion now? |
| 17 | A. Yes. |
| 18 | Q. And what did he tell you? |
| 19 | A. So he tell me he working on this Open AI |
| 20 | Initiative. He kind of pitching this idea to 08:25:17 |
| 21 | other people. So he told me what he already have |
| 22 | some project, like something which already kind of |
| 23 | exists. |
| 24 | He showed me briefly the so it's |
| 25 | something called look like Wikipedia, some 08:25:32 |
| | Page 20 |

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| 1 | Wikipedia-looking page, with Open AI logo on it. | |
|----|--|----------|
| 2 | Plus he show me, like, we have this thing. Now we | |
| 3 | going to kind of announce initiative. So to | |
| 4 | collect all like, basically, to start building | |
| 5 | Open AI like open artificial intelligence. | 08:25:46 |
| 6 | Yeah, so basically explained me what is initiative | |
| 7 | about. | |
| 8 | Q. And when in did he explain to you the | |
| 9 | purpose of the web page? | |
| 10 | A. Yes. So the idea is, basically, we | 08:26:07 |
| 11 | announce what they going to build, Open AI, like | |
| 12 | open artificial intelligence initiative, so people | |
| 13 | could get there so they can put their e-mail, like | |
| 14 | submit e-mail. So they interested in this | |
| 15 | project, so they can like, interested in | 08:26:24 |
| 16 | contributing to open source artificial | |
| 17 | intelligence. | |
| 18 | So basically, idea is to build AI openly | |
| 19 | and for benefit of the humanity. | |
| 20 | Q. How long did you work on the web page? | 08:26:33 |
| 21 | MR. SCHER: Objection. Vague. | |
| 22 | THE WITNESS: I think it was | |
| 23 | BY MR. WILSON: | |
| 24 | Q. Let me rephrase the question. | |
| 25 | Do you recall how many you said you | 08:26:45 |
| | | Page 21 |

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| 1 | worked on the web page for Open AI? | |
|----|--|----------|
| 2 | A. Yeah, that's right. | |
| 3 | Q. Okay. Do you recall how many hours you | |
| 4 | devoted to work on the web page? | |
| 5 | A. I think something like 60 hours. | 08:27:00 |
| 6 | Q. Okay. And this work on the web page, did | |
| 7 | you get paid for it? | |
| 8 | A. Yes. | |
| 9 | Q. And did Mr. Ravine pay you through a | |
| 10 | different company other than We Communicate? | 08:27:23 |
| 11 | A. I don't remember exactly. So I don't | |
| 12 | remember exactly how he paid me, like, ten years | |
| 13 | ago. | |
| 14 | Q. Okay. After and in terms of building | |
| 15 | the web page, do you recall what steps you took to | 08:27:47 |
| 16 | build the web page? | |
| 17 | A. I'm sorry. I'm not sure what you mean. | |
| 18 | Q. Let me rephrase the questions. | |
| 19 | Do you recall what tasks you undertook in | |
| 20 | order to build the web page? | 08:28:03 |
| 21 | A. Yeah. So basically, I had to kind of | |
| 22 | write HTML code with CSS like, so, basically, I | |
| 23 | had to I got the design from our designer, | |
| 24 | Serge Belkin, which work with us at the time. | |
| 25 | So I yeah, I turn this, like, | 08:28:22 |
| | | Page 22 |

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| _ | | |
|----|--|----------|
| 1 | MR. WILSON: We've been going about an | |
| 2 | hour. So unless there's any objection, I | |
| 3 | think it's a good time for a ten-minute | |
| 4 | break. | |
| 5 | So, Mr. Gaer, it's up to you, but | 09:05:46 |
| 6 | traditionally what people do when we're on | |
| 7 | their break is they mute their feed and they | |
| 8 | turn their camera off. | |
| 9 | A. Okay. Thank you. | |
| 10 | MR. WILSON: So we'll be back in ten | 09:05:59 |
| 11 | minutes. | |
| 12 | THE VIDEOGRAPHER: Off the record at the | |
| 13 | 9:05 a.m. | |
| 14 | (Short break taken.) | |
| 15 | THE VIDEOGRAPHER: We are on the record | 09:18:26 |
| 16 | at 9:17 a.m., and this is the beginning of | |
| 17 | Media 2 in the deposition of Nikita Gaer. | |
| 18 | BY MR. WILSON: | |
| 19 | Q. All right. Mr. Gaer, after the third | |
| 20 | collaboration tool in 2017, did you work on any | 09:18:43 |
| 21 | other project related to Open AI? | |
| 22 | A. Yeah. I think I think so. The next | |
| 23 | kind of version of this third, which kind of was | |
| 24 | actual evolution of it, was also in 2017. But I | |
| 25 | honestly don't recall when it was exactly, what | 09:19:17 |
| | | Page 49 |

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| 1 | was the month or, like, the period when we started | |
|----|--|----------|
| 2 | work on this. So it's kind of naturally grows out | |
| 3 | of this third iteration. So we just, yeah, make | |
| 4 | it it looks better and add more functionality | |
| 5 | to this. | 09:19:36 |
| 6 | So basically, it was, we could say, | |
| 7 | fourth iteration of collaboration tool. | |
| 8 | Q. Okay. Do you recall, did it have a name, | |
| 9 | or | |
| 10 | A. We deployed it to decentralize at Open | 09:19:46 |
| 11 | AI. So we called it Decentralized. | |
| 12 | Q. Do you recall why it was called | |
| 13 | Decentralized? | |
| 14 | A. I mean, basically, that's kind of, I | |
| 15 | think, similar thing to Open. So it's kind of | 09:20:01 |
| 16 | not AI not controlled by central entity. So | |
| 17 | it's kind of controlled by open community. | |
| 18 | Q. Do you recall this another iteration | |
| 19 | of the collaboration tool, do you recall how it | |
| 20 | was different from the version that we discussed | 09:20:24 |
| 21 | just before the break? | |
| 22 | A. So it was basically, it use use the | |
| 23 | third iteration as a basis. So they used the same | |
| 24 | technology. | |
| 25 | So in this third iteration, we use a | 09:20:36 |
| | | Page 50 |

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| 1 | | |
|----|--|----------|
| 1 | project called editpad [phonetic], so which we | |
| 2 | used as a basis, as well. So we we edit the | |
| 3 | big part of it to make it work; so to make it | |
| 4 | modern and make it work fast. So it started to | |
| 5 | work much faster after that. | 09:20:51 |
| 6 | We also had a nicer design. So | |
| 7 | previously, I think I designed it myself, I mean | |
| 8 | with, Guy's feedback. So this iteration had | |
| 9 | actual design, also from Serge Belkin. So it | |
| 10 | looks better. It has more functionality than | 09:21:09 |
| 11 | that. So we had a talks functionality here. We | |
| 12 | had basically discussion functionality. You was | |
| 13 | able to run the code, actually. So they had a | |
| 14 | code blocks which could run. So it could | |
| 15 | technically run the code on the models on this | 09:21:26 |
| 16 | project. | |
| 17 | So we used the third iteration as a | |
| 18 | basis, but add more stuff and improve it | |
| 19 | technically and visually from the third iteration. | |
| 20 | Q. And you said you could use code in the | 09:21:36 |
| 21 | third generation. So you could write computer | |
| 22 | code and then have it demonstrate on this version | |
| 23 | of collaboration called Decentralized? | |
| 24 | A. Yes, you could you could run your | |
| 25 | code, you could execute it, actually and see the | 09:21:55 |
| | | Page 51 |

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| 1 | with anyone about this case? | |
|----|--|----------|
| 2 | MR. CUNNINGHAM: Same objections. | |
| 3 | MR. WILSON: Join. | |
| 4 | MR. CUNNINGHAM: You're really treading | |
| 5 | on work product. How they are preparing for | 12:16:04 |
| 6 | trial, it's a work product immunity. | |
| 7 | MR. SCHER: Are you instructing him not | |
| 8 | to answer? | |
| 9 | MR. CUNNINGHAM: I'm instructing him not | |
| 10 | to answer the question. | 12:16:27 |
| 11 | BY MR. SCHER: | |
| 12 | Q. Are you going to follow your counsel's | |
| 13 | objection or instruction? | |
| 14 | A. Yes, I do. | |
| 15 | Q. Besides Mr. Ravine and lawyers associated | 12:16:33 |
| 16 | with Open Artificial Intelligence, Inc., or any of | |
| 17 | your colleagues who conduct work for Open | |
| 18 | Artificial Intelligence, Inc., have you had any | |
| 19 | conversations with anyone about this case? | |
| 20 | A. I might have some conversations with, | 12:16:50 |
| 21 | like, my wife. I don't know. | |
| 22 | Q. What did you discuss with your wife? | |
| 23 | A. There is a case. I might get deposed, | |
| 24 | basically. Not sure what's going on. | |
| 25 | Q. Are you currently employed? | 12:17:21 |
| | | Page 139 |

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| A. Yeah. Yes. Q. Who is your employer? A. Yeah, I still work with Guy, Guy Ravine. Q. Do you have a full-time position with Mr. Ravine, or are you a contractor? A. Yeah, it's a full-time position. Yeah, but I was on a break for a while, during the autumn, but now I work again. Q. When were you on a break? A. September, October, and part of November. | 12:17:43 |
|--|---|
| A. Yeah, I still work with Guy, Guy Ravine. Q. Do you have a full-time position with Mr. Ravine, or are you a contractor? A. Yeah, it's a full-time position. Yeah, but I was on a break for a while, during the autumn, but now I work again. Q. When were you on a break? | 12:17:43 |
| Q. Do you have a full-time position with Mr. Ravine, or are you a contractor? A. Yeah, it's a full-time position. Yeah, but I was on a break for a while, during the autumn, but now I work again. Q. When were you on a break? | 12:17:43 |
| Mr. Ravine, or are you a contractor? A. Yeah, it's a full-time position. Yeah, but I was on a break for a while, during the autumn, but now I work again. Q. When were you on a break? | 12:17:43 |
| A. Yeah, it's a full-time position. Yeah, but I was on a break for a while, during the autumn, but now I work again. Q. When were you on a break? | 12:17:43 |
| but I was on a break for a while, during the autumn, but now I work again. Q. When were you on a break? | |
| autumn, but now I work again. Q. When were you on a break? | |
| Q. When were you on a break? | |
| | |
| A. September, October, and part of November. | |
| | 12:18:03 |
| Q. September, October, of 2024? | |
| A. Yes. | |
| Q. What is your current position working for | |
| Mr. Ravine? | |
| A. I don't really have a position, I think. | 12:18:22 |
| I'm just something like core engineer. | |
| Q. Do you work with Mr. Ravine for multiple | |
| companies? | |
| A. Yes. | |
| Q. In 2024, what companies have you done | 12:18:41 |
| work for? | |
| A. So I think all of them is Open Artificial | |
| Intelligence. | |
| Q. This year, you have exclusively worked | |
| for Open Artificial Intelligence, Inc.? | 12:19:03 |
| | Page 140 |
| | Q. What is your current position working for Mr. Ravine? A. I don't really have a position, I think. I'm just something like core engineer. Q. Do you work with Mr. Ravine for multiple companies? A. Yes. Q. In 2024, what companies have you done work for? A. So I think all of them is Open Artificial Intelligence. Q. This year, you have exclusively worked |

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| 1 | A. Yes, I think so. | |
|----|--|----------|
| 2 | Q. What companies did you work for in 2023? | |
| 3 | A. So I think it's the same. It's also | |
| 4 | is mostly Open Artificial Intelligence. I | |
| 5 | probably not exactly sure what you mean, what | 12:19:34 |
| 6 | company you worked on, because there is companies, | |
| 7 | and there is projects. So what do you mean by | |
| 8 | "what company you works on"? | |
| 9 | Q. In 2023, did you do any work for Video | |
| 10 | Inc.? | 12:19:50 |
| 11 | A. Okay. Let me think. Maybe there was | |
| 12 | there was some minor things which we I did on | |
| 13 | Video Inc. So, like, maybe small portion of the | |
| 14 | time I fixed something in that. | |
| 15 | Q. Putting aside any work that you may have | 12:20:16 |
| 16 | done for this litigation, what projects have you | |
| 17 | worked on in 2024 for Mr. Ravine? | |
| 18 | A. So we worked on we kept working on | |
| 19 | image generation and Ava, I think, at the | |
| 20 | beginning of the year. Then the preliminary | 12:20:47 |
| 21 | injunction, after that we has to stop using the | |
| 22 | mark, and we stopped working on those projects. | |
| 23 | Then I think I worked on the Boom for a while and | |
| 24 | then worked on this AI voice memos project. | |
| 25 | Q. So after the injunction, you worked on | 12:21:18 |
| | | Page 141 |

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| 1 | get design at mail, edits, and so there's some | |
|----|--|----------|
| 2 | comments, so I change it. So that's why. | |
| 3 | Q. The only functionality available to the | |
| 4 | public was to insert their e-mail address; | |
| 5 | correct? | 13:17:52 |
| 6 | A. Yes. | |
| 7 | And also, like, you could see the that | |
| 8 | information in the announcement. So it's kind of | |
| 9 | informational thing. | |
| 10 | Q. What information was being shared? | 13:18:03 |
| 11 | A. Well, this initiative it is Open AI | |
| 12 | initiative, and it's going to be released soon. | |
| 13 | Q. Anything else? | |
| 14 | A. No. There is a also contact e-mail. | |
| 15 | Q. You don't know how many people submitted | 13:18:21 |
| 16 | their e-mail addresses to this website; correct? | |
| 17 | A. I don't remember it, but we had this | |
| 18 | list, like | |
| 19 | Q. The list only contained e-mails; right? | |
| 20 | A. Yes. | 13:18:43 |
| 21 | Q. The list doesn't tell you the location of | |
| 22 | where anyone was who submitted their e-mail? | |
| 23 | A. No. | |
| 24 | Q. You have no way to tell whether or not | |
| 25 | someone who submitted an e-mail was a bot, do you? | 13:19:03 |
| | | Page 162 |

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| 1 | from anyone associated with this initiative; | |
|----|--|--|
| 2 | correct? | |
| 3 | MR. CUNNINGHAM: Objection. Calls for | |
| 4 | speculation. | |
| 5 | MR. WILSON: Join. 13:20:39 | |
| 6 | THE WITNESS: I don't know anything about | |
| 7 | it, about e-mailing about about | |
| 8 | e-mailing them. | |
| 9 | BY MR. SCHER: | |
| 10 | Q. You don't know if anyone was ever 13:20:47 | |
| 11 | e-mailed back who submitted an e-mail address to | |
| 12 | this page? | |
| 13 | A. Yes. | |
| 14 | Q. Why not? | |
| 15 | MR. CUNNINGHAM: Objection. 13:21:10 | |
| 16 | THE WITNESS: Sorry? | |
| 17 | MR. CUNNINGHAM: Form. | |
| 18 | THE WITNESS: Why not? | |
| 19 | MR. WILSON: Join. | |
| 20 | BY MR. SCHER: 13:21:31 | |
| 21 | Q. Do you have an answer? | |
| 22 | A. Wait. I said, no, I didn't send any | |
| 23 | any e-mail to those people. But you asked if | |
| 24 | anyone ever send them e-mail. I don't know. So I | |
| 25 | didn't send. 13:21:43 | |
| | Page 164 | |

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| 1 | Q. Do you see that the web page says |
|----|---|
| 2 | "announcement will be made soon"? |
| 3 | A. Yes. |
| 4 | Q. No announcement was ever made; correct? |
| 5 | MR. WILSON: Objection. Calls for 13:21:58 |
| 6 | speculation. |
| 7 | MR. CUNNINGHAM: Joined. |
| 8 | THE WITNESS: So back in 2015, I think |
| 9 | there was no announcement. |
| 10 | BY MR. SCHER: 13:22:10 |
| 11 | Q. Was there an announcement in 2016? |
| 12 | MR. CUNNINGHAM: Same objection. |
| 13 | MR. WILSON: Join. |
| 14 | THE WITNESS: No. No. I don't think so. |
| 15 | BY MR. SCHER: 13:22:21 |
| 16 | Q. There was never any announcement; |
| 17 | correct? |
| 18 | MR. CUNNINGHAM: Same objection. |
| 19 | MR. WILSON: Join. |
| 20 | THE WITNESS: Yeah. No. So, like I'm 13:22:34 |
| 21 | not sure what to answer on this. Because, |
| 22 | yeah, basically so they we put |
| 23 | something on this website after |
| 24 | afterwards. I don't is it count as |
| 25 | announcement or not, like, because of here 13:22:52 |
| | Page 165 |

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| 1 | announcement? So it had image generator | |
|----|--|----------|
| 2 | here. | |
| 3 | BY MR. SCHER: | |
| 4 | Q. When did you next put something on this | |
| 5 | website? | 13:23:00 |
| 6 | A. Oh, I don't remember what so I think | |
| 7 | most of the time it was this this kind of | |
| 8 | announcement page. Maybe they put some other | |
| 9 | stuff there occasionally, but I don't recall right | |
| 10 | now. | 13:23:24 |
| 11 | So basically, the things what I remember | |
| 12 | for sure is what we on November 2022, we put | |
| 13 | image generator here, but maybe they put something | |
| 14 | here before that too. | |
| 15 | Q. But you can't recall that, sitting here | 13:23:38 |
| 16 | today; right? | |
| 17 | A. Yeah. Yes. | |
| 18 | Q. And you are Mr. Ravine's core engineer? | |
| 19 | A. Yes. | |
| 20 | Q. How could a user have found this web | 13:23:50 |
| 21 | page? | |
| 22 | MR. CUNNINGHAM: Objection. Calls for | |
| 23 | speculation. | |
| 24 | MR. WILSON: Join. | |
| 25 | THE WITNESS: I don't know. | 13:24:12 |
| | | Page 166 |

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| 1 | BY MR. SCHER: | |
|----|---|----------|
| 2 | Q. The initial collaboration tool did not | |
| 3 | display Open AI anywhere; correct? | |
| 4 | A. I mean, I'm talking about the 2016 | |
| 5 | January, February internal internal thing, | 13:28:50 |
| 6 | yeah. It didn't have Open AI logo on it. | |
| 7 | Q. The next project you discussed was a | |
| 8 | second collaboration tool; correct? | |
| 9 | A. Yes. | |
| 10 | Q. That tool is was available at | 13:29:11 |
| 11 | hub.open.ai; correct? | |
| 12 | A. Correct. | |
| 13 | Q. And you testified that that was released | |
| 14 | to the public; correct? | |
| 15 | A. Yes. | 13:29:29 |
| 16 | Q. Do you recall when that was released to | |
| 17 | the public? | |
| 18 | A. Yeah. I think it was autumn 2016. | |
| 19 | Q. Was there any advertising or promotion | |
| 20 | for hub.open.ai? | 13:29:44 |
| 21 | MR. WILSON: Objection. Calls for | |
| 22 | speculation. | |
| 23 | MR. CUNNINGHAM: Joined. | |
| 24 | THE WITNESS: Yeah, I didn't know about | |
| 25 | advertisement of this project. | 13:29:53 |
| | | Page 171 |

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| 1 | BY MR. SCHER: |
|----|---|
| 2 | Q. Did you have an administrative role for |
| 3 | hub.open.ai? |
| 4 | MR. CUNNINGHAM: Objection. Vague. |
| 5 | THE WITNESS: Yeah, what do you mean? 13:30:06 |
| 6 | BY MR. SCHER: |
| 7 | Q. Were you an administrator on hub.open.ai? |
| 8 | A. Honestly, I don't remember. |
| 9 | Q. Do you recall if you ever posted on |
| 10 | hub.open.ai? 13:30:19 |
| 11 | A. Yes. |
| 12 | Q. Were there any formal business plans |
| 13 | prepared for hub.open.ai? |
| 14 | MR. WILSON: Objection. Calls for |
| 15 | speculation. 13:30:37 |
| 16 | MR. CUNNINGHAM: Joined. |
| 17 | THE WITNESS: Yeah, I don't know anything |
| 18 | about business plans related to hub. |
| 19 | BY MR. SCHER: |
| 20 | Q. As part of your work in connection with 13:30:42 |
| 21 | hub.open.ai, did you monitor or look at posts? |
| 22 | A. No, I don't think so. |
| 23 | Q. Did you know how many users there were |
| 24 | are of hub.open.ai? |
| 25 | MR. WILSON: Objection. Vague as to 13:31:06 |
| | Page 172 |

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| 1 | time. | |
|----|--|----------|
| 2 | MR. CUNNINGHAM: Join. | |
| 3 | THE WITNESS: No, I don't know much. I | |
| 4 | don't really, like, pay much attention to, | |
| 5 | like to all these things. | 13:31:15 |
| 6 | BY MR. SCHER: | |
| 7 | Q. At any point in time, did you know how | |
| 8 | many users there were of hub.open.ai? | |
| 9 | A. No. I don't I don't know. I don't | |
| 10 | remember. | 13:31:27 |
| 11 | Q. Have you ever discussed the number of | |
| 12 | users there were of hub.open.ai? | |
| 13 | A. I don't think so. | |
| 14 | Q. Do you know if hub.open.ai ever had | |
| 15 | someone sign up who was not one of your colleagues | 13:31:43 |
| 16 | or an acquaintance of one of your colleagues? | |
| 17 | A. I'm not sure I got what you mean. Can | |
| 18 | you clarify? | |
| 19 | Q. Nobody ever signed up for hub.open.ai who | |
| 20 | did not work for Mr. Ravine or know someone who | 13:32:05 |
| 21 | worked for Mr. Ravine; correct? | |
| 22 | A. I don't know. I mean, I was sign up to | |
| 23 | Open like, hub. So I had an account there. | |
| 24 | Q. Aside from you, do you know anybody who | |
| 25 | ever signed up for hub.open.ai? | 13:32:26 |
| | P | age 173 |

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| 1 | | 1 |
|----|--|----------|
| 1 | attached hereto.) | |
| 2 | BY MR. SCHER: | |
| 3 | Q. Please let me know when you can access | |
| 4 | Exhibit 31, which bears the Bates No. | |
| 5 | RAVINE0002089. | 14:42:55 |
| 6 | A. I can see it. | |
| 7 | Q. Do you recognize this document? | |
| 8 | A. Yes. It looks like one of the problems | |
| 9 | from hub.open.ai. | |
| 10 | Q. This is a problem that you posted on | 14:43:16 |
| 11 | hub.open.ai; correct? | |
| 12 | A. Yes. | |
| 13 | Q. And the problem is titled "Deep | |
| 14 | Reinforcement Algorithm Problem"; correct? | |
| 15 | A. Yes. | 14:43:28 |
| 16 | Q. And you made this post on September 26, | |
| 17 | 2016? | |
| 18 | A. I don't remember, but I don't even see | |
| 19 | the date. But, I guess, yes, from the previous | |
| 20 | exhibition, it follows, 26, right. So, yes, I | 14:43:46 |
| 21 | think so. | |
| 22 | Q. And you see it says "September 2016" on | |
| 23 | the right side of this page; correct? | |
| 24 | A. Yes. Yes. | |
| 25 | Q. And in your post, you wrote [as read:] | 14:44:00 |
| | | Page 212 |

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| 1 | "Here is a proposed improvement to the current |
|----|---|
| 2 | deep learning reinforcement algorithm that we are |
| 3 | discussing, but it represents a problem. Here is |
| 4 | the formulation." |
| 5 | Did I read that correctly? 14:44:13 |
| 6 | A. Yes. |
| 7 | Q. Then there's a box within your post, and |
| 8 | that's some code that you inserted; right? |
| 9 | A. Yes. |
| 10 | Q. And that code begins, "ctx = [mx.gpu"; is 14:44:25 |
| 11 | that right? |
| 12 | A. Yes. |
| 13 | Q. And the code ends with "mod.update"? |
| 14 | A. Yes. |
| 15 | Q. Why did you make this post? |
| 16 | A. I took a bunch of problems to kind of |
| 17 | kick start the thing, so people could see how it |
| 18 | function, could actually see the problems and |
| 19 | maybe, like, comment them. So basically, the idea |
| 20 | was to kick start the thing when we originally 14:45:00 |
| 21 | deployed. |
| 22 | Q. So you made the post so that people who |
| 23 | saw hub.open.ai knew how to use it? |
| 24 | A. Yes. People could, like, basically |
| 25 | understand how it works and see yeah, and 14:45:23 |
| | Page 213 |

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| 1 | basically, maybe post solution or just watch it. | |
|----|--|----------|
| 2 | | |
| | Like, see, like, the thread, basically, yeah. | |
| 3 | Q. Did you make this post for any other | |
| 4 | reason? | |
| 5 | A. No. No. Just this. | 14:45:38 |
| 6 | Q. Were you looking for an answer to a | |
| 7 | question? | |
| 8 | A. What? | |
| 9 | Q. Were you looking for an answer to a | |
| 10 | question? | 14:45:50 |
| 11 | A. I don't get what you mean. What you | |
| 12 | mean, looking for | |
| 13 | Q. Were you looking for someone to help | |
| 14 | solve a problem for you? | |
| 15 | | 14.46.00 |
| | A. Oh, no, no. Not really. So basically, I | 14.46.00 |
| 16 | just post some things which is relevant to the | |
| 17 | idea of the project. But in reality so | |
| 18 | basically, someone could post it, but I am not | |
| 19 | exactly looking for the so basically, as I said | |
| 20 | already, so the idea was we want to put something | 14:46:23 |
| 21 | relevant to the platform so people could see how | |
| 22 | it works. | |
| 23 | If someone would post a solution, of | |
| 24 | course, I'll be happy. But this is what the | |
| 25 | purpose of this post was, so people understand it | 14:46:35 |
| | rational formation and the first fir | |
| | | Page 214 |

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| 1 | and, basically, participate. |
|----|---|
| 2 | Q. So this was not part of any code that you |
| 3 | were working on; correct? |
| 4 | A. Yes. |
| 5 | Q. Did Mr. Ravine tell you to make this |
| 6 | post? |
| 7 | A. I don't think he tell me, but I mean, |
| 8 | probably, yeah, we kind of talked about, I think. |
| 9 | Os, yeah, I think we discuss what we |
| 10 | we could deploy it, and we need to put something 14:47:03 |
| 11 | <mark>here.</mark> |
| 12 | Q. Did he tell you that in September of |
| 13 | 2016, you needed to make some posts on |
| 14 | hub.open.ai? |
| 15 | A. I don't remember. 14:47:15 |
| 16 | Q. And then if you scroll down, do you see |
| 17 | that someone with the username Rick responded to |
| 18 | your problem? |
| 19 | A. Yes. |
| 20 | Q. Did you create the account Rick? 14:47:25 |
| 21 | A. Honestly, I don't remember who create the |
| 22 | account Rick. It might be me, but might be |
| 23 | someone else from the team. |
| 24 | So basically, yeah, it's kind of so |
| 25 | it's also someone from us, I think. But I 14:47:40 |
| | Page 215 |

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| 1 | don't I don't know for sure, to be honest. So | |
|----|--|--|
| 2 | Nikita is definitely me, because it's my it's | |
| 3 | my avatar. So it's my, like, avatar which I use | |
| 4 | still. | |
| 5 | But Rick, I can't tell for sure who is 14:47:51 | |
| 6 | this. But I would speculate that someone probably | |
| 7 | from the team. | |
| 8 | So again, to represent how how the | |
| 9 | thing works, we post problem, we post solution, so | |
| 10 | people could see and understand it. 14:48:06 | |
| 11 | Q. So you don't recall if you operated the | |
| 12 | account Rick but, the person who operated the | |
| 13 | account Rick worked for Mr. Ravine; correct? | |
| 14 | MR. WILSON: Objection. Misstates | |
| 15 | testimony. 14:48:19 | |
| 16 | MR. CUNNINGHAM: Joined. | |
| 17 | THE WITNESS: Yes. I say that I | |
| 18 | remember. | |
| 19 | BY MR. SCHER: | |
| 20 | Q. You have no reason to believe that the 14:48:33 | |
| 21 | person who operated the account Rick did not work | |
| 22 | for Mr. Ravine? | |
| 23 | MR. CUNNINGHAM: Objection. Confusing. | |
| 24 | Double negative. | |
| 25 | MR. WILSON: Join. 14:48:43 | |
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| 1 | THE WITNESS: Again, I can't say for |
|----|--|
| 2 | sure. I could only guess, but I don't |
| 3 | remember exactly. |
| 4 | So in case with Nikita, I can tell |
| 5 | exactly because it's my avatar. So I can 14:48:53 |
| 6 | tell exactly that's my user. |
| 7 | And Nikita is not a common name, so to |
| 8 | have to, like, yeah, have a double-post |
| 9 | here. |
| 10 | For Rick, I can't tell for sure who is 14:49:02 |
| 11 | this. |
| 12 | BY MR. SCHER: |
| 13 | Q. But you believe that Rick is likely |
| 14 | someone from your team; correct? |
| 15 | MR. WILSON: Objection. Misstates prior 14:49:16 |
| 16 | testimony. |
| 17 | MR. CUNNINGHAM: Join. Objection. Calls |
| 18 | for speculation. |
| 19 | THE WITNESS: I don't know. |
| 20 | BY MR. SCHER: 14:49:28 |
| 21 | Q. Okay. Do you see that Rick responded and |
| 22 | wrote, "The best permutation so far is" and |
| 23 | then included code that begins "gpus=4"? |
| 24 | A. Yes. |
| 25 | Q. And then below that, do you see that you 14:49:44 |
| | Page 217 |

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| 1 | A. Okay. So I try to understand what post |
|----|--|
| 2 | you reference. |
| 3 | So from ilkarman, on August 20th, 2016? |
| 4 | Q. Yes. |
| 5 | Do you see that he posted the same exact 14:56:36 |
| 6 | code that was posted by Nikita in Exhibit 31 that |
| 7 | begins "ctx"? |
| 8 | A. Yeah. I can't compare it by just a |
| 9 | glance, but I would yeah, I would guess it's |
| 10 | the same it's that's code, yeah. 14:57:01 |
| 11 | Q. Can you open Exhibit 31 to make sure. |
| 12 | MR. CUNNINGHAM: Objection. Compound. |
| 13 | MR. WILSON: Join. |
| 14 | THE WITNESS: So it's actually not |
| 15 | exactly like this. Oh, yeah. Okay. Yeah, 14:57:45 |
| 16 | it seems like this is the same code, yeah. |
| 17 | BY MR. SCHER: |
| 18 | Q. Okay. Let's go back to Exhibit 33. |
| 19 | A. Yeah. |
| 20 | Q. Now, if you go to the fourth page of 14:57:57 |
| 21 | Exhibit 33, do you see that there is a comment |
| 22 | from the account ilkarman from August 22, 2016, |
| 23 | that begins "@antinucleon"? |
| 24 | A. Yeah. |
| 25 | Q. And do you see that the account posted 14:58:21 |
| | Page 223 |

Casse 44 2233 cow 00339118-YICHR | Domoument 123537-133 | FFiled 1054 2110 225 | Pragge 226 of 1455 | HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | the same code that Rick posted on hub.open.ai in | |
|----|--|----------|
| 2 | Exhibit 31? | |
| 3 | A. Yes. It looks the similar, yeah. | |
| 4 | MR. CUNNINGHAM: Objection. Compound. | |
| 5 | MR. WILSON: Join. | 14:58:38 |
| 6 | BY MR. SCHER: | |
| 7 | Q. You did not operate the account ilkarman | |
| 8 | on github.com; right? | |
| 9 | A. Yes. | |
| 10 | Q. "Yes" as in you did not operate that | 14:58:51 |
| 11 | account? | |
| 12 | A. Yes, correct. I did not. | |
| 13 | Q. You do not know who operated the account | |
| 14 | ilkarman on github.com? | |
| 15 | A. Yes, I don't know. | 14:59:02 |
| 16 | Q. You did not try to get in contact with | |
| 17 | the account ilkarman on github.com? | |
| 18 | A. No. | |
| 19 | Q. You did not participate on this | |
| 20 | conversation on github.com? | 14:59:16 |
| 21 | A. No. | |
| 22 | Q. You did not make any of these comments on | |
| 23 | Exhibit 33; correct? | |
| 24 | A. I did yes, I did not post any on | |
| 25 | GitHub. | 14:59:32 |
| | | Page 224 |

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| 1 | Q. But you copied content from Exhibit 33 |
|----|--|
| 2 | and put them on hub.open.ai; correct? |
| 3 | A. Yes. I copied as I said before, |
| 4 | basically, the idea was to be started with |
| 5 | relevant content. So that's relevant content from 14:59:49 |
| 6 | the open source. We covered this already. |
| 7 | Q. You did not learn anything new about the |
| 8 | code you posted on hub.open.ai, did you? |
| 9 | A. New about what? |
| 10 | Q. You didn't learn anything about the code 15:00:09 |
| 11 | you posted on hub.open.ai from your post on |
| 12 | hub.open.ai? |
| 13 | MR. WILSON: Objection. Vague. |
| 14 | MR. CUNNINGHAM: Objection |
| 15 | THE WITNESS: Well, I don't know. Maybe 15:00:22 |
| 16 | I I can't tell if I learned anything. I |
| 17 | might have learned something from this. |
| 18 | BY MR. SCHER: |
| 19 | Q. You were paid to make that post on |
| 20 | hub.open.ai; right? |
| 21 | MR. WILSON: Objection |
| 22 | THE WITNESS: No, I wasn't paid |
| 23 | specifically to put my code. I was paid to |
| 24 | make my job, basically. |
| 25 | /// |
| | Page 225 |

Casse 44 223 cov 0239118-YGFR | Domoument 22837-133 | Friend 054 210 225 | Fragge 228 off 445 | HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | BY MR. SCHER: | |
|----|--|----------|
| 2 | Q. And making that post was part of your | |
| 3 | job; right? | |
| 4 | A. Yes, kind of. I mean, so I was I | |
| 5 | already told yeah, so, like, the purpose code | 15:00:52 |
| 6 | to the slot the problem solution topics on the | |
| 7 | hub. | |
| 8 | Q. You made that post to represent how | |
| 9 | hub.open.ai worked; correct? | |
| 10 | A. Correct. | 15:01:28 |
| 11 | MR. SCHER: I am going to upload | |
| 12 | Exhibit 34, but I am going to be careful and | |
| 13 | make sure that it has a stamp. | |
| 14 | (Exhibit 34 was received and marked | |
| 15 | for identification on this date and is | 15:01:36 |
| 16 | attached hereto.) | |
| 17 | BY MR. SCHER: | |
| 18 | Q. I have introduced Exhibit 34. | |
| 19 | Please let me know when you can access | |
| 20 | it. | 15:01:40 |
| 21 | A. Yeah, I see it. | |
| 22 | Q. Do you recognize this document? | |
| 23 | A. Not really. | |
| 24 | Q. Have you seen this document before? | |
| 25 | A. No, I don't think so. I'm not sure. I | 15:02:08 |
| | | Page 226 |

Casse 44 2233 cov 02391183-YCFR | Domoument 12337-133 | Filter 1054 210 225 | Pragge 329 of 1455 | HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | don't think so. | |
|----|--|----------|
| 2 | Q. Okay. I can represent to you that this | |
| 3 | is a document submitted to the U.S. Patent and | |
| 4 | Trademark Office. | |
| 5 | You have no reason to doubt me; correct? | 15:02:22 |
| 6 | MR. CUNNINGHAM: Objection. Lacks | |
| 7 | foundation. | |
| 8 | MR. WILSON: Join. | |
| 9 | THE WITNESS: Yeah, I got no idea how | |
| 10 | how, like, documents for the for this | 15:02:32 |
| 11 | thing works, yeah. | |
| 12 | BY MR. SCHER: | |
| 13 | Q. Okay. Do you see that on page 2, there's | |
| 14 | something that says "Signature section"? | |
| 15 | A. Signature? Yes. | 15:02:46 |
| 16 | Q. Do you see it says "Declaration," | |
| 17 | "Signature," and "Guy Ravine"? | |
| 18 | A. Yes. | |
| 19 | Q. Do you see it says "Date Signed," and it | |
| 20 | has a date from September 27, 2016? | 15:03:00 |
| 21 | A. Yes. | |
| 22 | Q. That's the day after your posts were made | |
| 23 | on hub.open.ai; right? | |
| 24 | A. Yes. | |
| 25 | Q. And then do you see that lower on page 2, | 15:03:16 |
| | | Page 227 |

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| 1 | MR. CUNNINGHAM: Same objection. |
|----|---|
| 2 | MR. WILSON: Join. |
| 3 | THE WITNESS: Yes. |
| 4 | BY MR. SCHER: |
| 5 | Q. It's titled "Deep Reinforcement Algorithm 15:04:33 |
| 6 | Problem?" |
| 7 | A. Actually, it looks like that discussion. |
| 8 | But, yeah, it's the same problem. Yeah. |
| 9 | Q. It's the same problem that is in Exhibit |
| 10 | 31; correct? 15:04:47 |
| 11 | A. Yes. |
| 12 | MR. CUNNINGHAM: Continuing objection. |
| 13 | It lacks foundation. |
| 14 | MR. WILSON: Join. |
| 15 | MR. CUNNINGHAM: Through the entire line 15:04:55 |
| 16 | of questioning for this exhibit. |
| 17 | BY MR. SCHER: |
| 18 | Q. It's a screenshot of hub.open.ai? |
| 19 | A. Yes. |
| 20 | Q. And do you see in the top right corner, 15:05:05 |
| 21 | it says "Guy"? |
| 22 | A. Yeah. Yes. It's actually dirty. Yeah, |
| 23 | it's actually quite dirty, but I could guess it's |
| 24 | Guy. |
| 25 | MR. CUNNINGHAM: Same objection. 15:05:31 |
| | Page 229 |

Casse 44 2233 cow 003391183-YICHR | Domoument 123537-133 | FFilted 1054 2110 225 | Pragge 321 of 1455 | HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | MR. WILSON: Join. |
|----|--|
| 2 | BY MR. SCHER: |
| 3 | Q. And if you scroll to page 6 |
| 4 | A. Yes. |
| 5 | Q that's a screenshot of your original 15:05:40 |
| 6 | post from Exhibit 31; correct? |
| 7 | MR. CUNNINGHAM: Same objection. |
| 8 | MR. WILSON: Join. |
| 9 | THE WITNESS: Yeah, it looks like this |
| 10 | problem post, yeah. 15:05:57 |
| 11 | BY MR. SCHER: |
| 12 | Q. So pages 5 and 6 of this document are |
| 13 | screenshots of the posts depicted in Exhibit 31; |
| 14 | correct? |
| 15 | MR. CUNNINGHAM: Objection. Lacks 15:06:07 |
| 16 | foundation. |
| 17 | MR. WILSON: Join. |
| 18 | THE WITNESS: It looks similar, yeah. So |
| 19 | from what I can tell, like, it looks similar |
| 20 | to this post. |
| 21 | BY MR. SCHER: |
| 22 | Q. This is the problem that had material |
| 23 | copied from the github.com page; correct? |
| 24 | MR. CUNNINGHAM: Objection. Lacks |
| 25 | foundation. 15:06:33 |
| | Page 230 |

Casse 44 2233 cow 00339118-YICHR | Domoument 123537-133 | FFiled 1054 2110 225 | Pragge 332 of 1455 | HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | MR. WILSON: Join. | |
|----|--|----------|
| 2 | THE WITNESS: Yes. | |
| 3 | BY MR. SCHER: | |
| 4 | Q. It is the problem that you posted to | |
| 5 | represent how hub.open.ai might be used? | 15:06:37 |
| 6 | MR. CUNNINGHAM: Objection. Lacks | |
| 7 | foundation. | |
| 8 | MR. WILSON: Join. | |
| 9 | THE WITNESS: Yeah, basically. To kick | |
| 10 | start the platform, the project. | 15:06:48 |
| 11 | BY MR. SCHER: | |
| 12 | Q. When you posted on hub.open.ai, did you | |
| 13 | know Mr. Ravine was going to submit screenshots of | |
| 14 | your posts to the U.S. Patent and Trademark | |
| 15 | Office? | 15:07:04 |
| 16 | MR. CUNNINGHAM: Objection. Lacks | |
| 17 | foundation. | |
| 18 | MR. WILSON: Join. | |
| 19 | THE WITNESS: I don't remember, honestly, | |
| 20 | but I don't think so. | 15:07:09 |
| 21 | One second. | |
| 22 | MR. CUNNINGHAM: Let's pause for a second | |
| 23 | because his video was blurred right there. | |
| 24 | Still got quite a bit of a blur in your | |
| 25 | there. Better. | 15:07:28 |
| | | Page 231 |

Casse 44 223 cov 0339118-YGFR | Dominment 22837-133 | Friend 054 210 225 | Fragge 338 off 445 | HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | THE WITNESS: Yeah. Sorry. What are you | |
|----|--|----------|
| 2 | saying? | |
| 3 | BY MR. SCHER: | |
| 4 | Q. Before today, did you know Mr. Ravine | |
| 5 | submitted screenshots of your posts to the U.S. | 15:07:38 |
| 6 | Patent and Trademark Office? | |
| 7 | A. Yeah, I think I knew what we used. At | |
| 8 | some point, I knew what we used. Hub hub was | |
| 9 | used for trademark. | |
| 10 | Q. But did you know that Mr. Ravine | 15:07:58 |
| 11 | submitted posts made from your account to the U.S. | |
| 12 | Patent and Trademark Office? | |
| 13 | MR. WILSON: Objection. Asked and | |
| 14 | answered. | |
| 15 | MR. CUNNINGHAM: Join. | 15:08:11 |
| 16 | THE WITNESS: I'm not sure what you | |
| 17 | meant. | |
| 18 | BY MR. SCHER: | |
| 19 | Q. When did you learn that your posts from | |
| 20 | hub.open.ai were submitted to the U.S. Patent and | 15:08:24 |
| 21 | Trademark Office? | |
| 22 | MR. WILSON: I object to the extent it | |
| 23 | might ask for attorney work product. If he | |
| 24 | can answer the question without exposing | |
| 25 | attorney work product, I don't have an | 15:08:41 |
| | | Page 232 |

Casse 44 2233 cow 00339118-YICHR | Domoument 123537-133 | FFiled 1054 2110 225 | Pragge 354 of 1455 | HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | objection. | |
|----|---|--|
| 2 | MR. CUNNINGHAM: Yeah, I'll make the | |
| 3 | objection. I instruct the client witness | |
| 4 | not to divulge any substance of any | |
| 5 | attorney-client communication in any answer. 15:08:59 | |
| 6 | THE WITNESS: Yeah, I don't remember. | |
| 7 | So, like, I don't remember when it was in. | |
| 8 | BY MR. SCHER: | |
| 9 | Q. Before 2023, you did not know that | |
| 10 | screenshots of hub.open.ai were submitted to the 15:09:11 | |
| 11 | U.S. Patent and Trademark Office; correct? | |
| 12 | MR. CUNNINGHAM: Same objections. | |
| 13 | MR. WILSON: Join. | |
| 14 | THE WITNESS: I don't know. | |
| 15 | BY MR. SCHER: 15:09:23 | |
| 16 | Q. What was your response | |
| 17 | A. I don't remember what year it was and | |
| 18 | when exactly it was. | |
| 19 | (Exhibit 35 was received and marked | |
| 20 | for identification on this date and is 15:09:57 | |
| 21 | attached hereto.) | |
| 22 | BY MR. SCHER: | |
| 23 | Q. I've just uploaded Exhibit 35. Please | |
| 24 | let me know when you can view it. | |
| 25 | A. I see it. 15:10:14 | |
| | Page 233 | |

Casse 44 2233 cow 003391183-YICHR | Domoument 123537-133 | FFilted 1054 2110 225 | Pragge 365 of 1455 | HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | MR. CUNNINGHAM: Objection. Compound. | |
|----|---|----------|
| 2 | Objection. Lacks foundation. | |
| 3 | MR. WILSON: Join. | |
| 4 | THE WITNESS: It looks similar. | |
| 5 | BY MR. SCHER: | 15:23:04 |
| 6 | Q. And the code that's posted is also the | |
| 7 | same code that you posted on hub.open.ai in | |
| 8 | Exhibit 37? | |
| 9 | MR. CUNNINGHAM: Same objections. | |
| 10 | MR. WILSON: Join. | 15:23:19 |
| 11 | THE WITNESS: Yes, it's also. | |
| 12 | BY MR. SCHER: | |
| 13 | Q. And then if you go to the bottom of | |
| 14 | page 5 of Exhibit 38. | |
| 15 | A. Yes. 5. | 15:23:37 |
| 16 | Q. Do you see a comment from August 31, | |
| 17 | 2016? | |
| 18 | A. Yes. | |
| 19 | MR. CUNNINGHAM: Same objections. | |
| 20 | MR. WILSON: Join. | 15:23:45 |
| 21 | BY MR. SCHER: | |
| 22 | Q. And this comment has language [as read:] | |
| 23 | "I should init the NDArraylter with batch | |
| 24 | size" | |
| 25 | Do you see that? | 15:24:02 |
| | | Page 244 |

Casse 44 223 cov 0339118-YGFR | Dominment 22837-13 | Fried 054 210 225 | Fragge 336 off 445 | HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | A. Yes. | |
|----|---|----------|
| 2 | MR. CUNNINGHAM: Objection. | |
| | | |
| 3 | Mischaracterizes the evidence. | |
| 4 | MR. WILSON: Join. | |
| 5 | THE WITNESS: I see this, yes. | 15:24:05 |
| 6 | BY MR. SCHER: | |
| 7 | Q. Do you see that the language here is | |
| 8 | similar to the language that Rick posted on | |
| 9 | Exhibit 36? | |
| 10 | MR. CUNNINGHAM: Objection. Compound. | 15:24:20 |
| 11 | Objection. Lacks foundation. | |
| 12 | THE WITNESS: Join. | |
| 13 | BY MR. SCHER: | |
| 14 | Q. And the code posted is the same, too; | |
| 15 | correct? | 15:24:30 |
| 16 | MR. CUNNINGHAM: Same objections. | |
| 17 | MR. WILSON: Join. | |
| 18 | THE WITNESS: Yes. It's similar. | |
| 19 | BY MR. SCHER: | |
| 20 | Q. You did not operate the account | 15:24:36 |
| 21 | zihaolucky on github.com; right? | |
| 22 | A. Right. | |
| 23 | Q. You do not know who operated the account | |
| 24 | zihaolucky on github.com? | |
| 25 | A. Right. | 15:24:54 |
| | | Page 245 |

Casse 44 2233 cov 02391183-YCFR Domoument 22337-133 FFiled 054 210 225 Pragge 337 of f 445 HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | Q. And you never tried to get into contact | |
|----|--|----------|
| 2 | with that account? | |
| 3 | A. No, I didn't. | |
| 4 | Q. And you did not participate in this | |
| 5 | conversation on github.com? | 15:24:59 |
| 6 | A. I didn't. | |
| 7 | Q. You didn't make these posts on | |
| 8 | github.com? | |
| 9 | A. I didn't. | |
| 10 | Q. But you copied the content from those | 15:25:09 |
| 11 | posts and put them on hub.open.ai; right? | |
| 12 | MR. CUNNINGHAM: Objection. Lacks | |
| 13 | foundation. Objection | |
| 14 | MR. WILSON: Join. | |
| 15 | THE WITNESS: I missed the question. So | 15:25:22 |
| 16 | what you asked? When? Repeat. | |
| 17 | BY MR. SCHER: | |
| 18 | Q. You copied the content from these posts | |
| 19 | and put them on hub.open.ai; correct? | |
| 20 | MR. CUNNINGHAM: Same objections. | 15:25:33 |
| 21 | MR. WILSON: Join. | |
| 22 | THE WITNESS: Yes, I think so. | |
| 23 | BY MR. SCHER: | |
| 24 | Q. The posts on hub.open.ai that we looked | |
| 25 | at in Exhibits 31, 34, and 37, they were not | 15:25:42 |
| | | Page 246 |

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| 1 | conversations happening between two users that | |
|----|--|----------|
| 2 | were answering each other's questions; right? | |
| 3 | MR. WILSON: Objection. Leading. | |
| 4 | THE WITNESS: Yeah. It was, like, the | |
| 5 | problems to start up like, basically, to | 15:26:05 |
| 6 | start the project. | |
| 7 | BY MR. SCHER: | |
| 8 | Q. The posts weren't made by third-party | |
| 9 | users; correct? | |
| 10 | A. I don't remember who is Rick, but Nikita | 15:26:17 |
| 11 | is me. | |
| 12 | Q. The posts were made to set an example for | |
| 13 | how hub.open.ai might be used; right? | |
| 14 | A. Yes. The idea was, yeah, to start up the | |
| 15 | project. | 15:26:43 |
| 16 | MR. SCHER: One more exhibit before the | |
| 17 | break. I'd like you to take a look at | |
| 18 | Exhibit 39. | |
| 19 | (Exhibit 39 was received and marked | |
| 20 | for identification on this date and is | 15:27:09 |
| 21 | attached hereto.) | |
| 22 | THE WITNESS: Yeah, I see it. | |
| 23 | BY MR. SCHER: | |
| 24 | Q. Have you seen this document before? | |
| 25 | A. I don't think so. | 15:27:25 |
| | | Page 247 |

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| 1 | Yeah, I don't I don't recall it. | |
|----|--|----------|
| 2 | Q. It's | |
| 3 | A. Yeah, that's hub. Yeah, that's a hub. | |
| 4 | Yeah, that's a hub from mobile device. | |
| 5 | Q. These are the hub.open.ai posts from a | 15:27:44 |
| 6 | mobile device; right? | |
| 7 | A. Yes. | |
| 8 | Q. Did you ever do any coding regarding the | |
| 9 | hub.open.ai mobile device appearance? | |
| 10 | A. I don't remember. But yeah, I don't | 15:27:57 |
| 11 | remember, to be honest. | |
| 12 | Q. But you, as a developer of hub.open.ai, | |
| 13 | understand that there were mobile pages for | |
| 14 | hub.open.ai; correct? | |
| 15 | A. Sorry. It was there was no dedicated | 15:28:13 |
| 16 | mobile pages, but you could open website from | |
| 17 | different devices. | |
| 18 | Q. Right. | |
| 19 | You could visit hub.open.ai from your | |
| 20 | phone? | 15:28:28 |
| 21 | A. Yes. I think it should work on your | |
| 22 | phone. | |
| 23 | Q. And this Exhibit 39, these are | |
| 24 | screenshots from a mobile device of the posts on | |
| 25 | hub.open.ai that we just look at; right? | 15:28:39 |
| | | Page 248 |

Casse 44 2233 cov 02391183-YCFR | Domoument 12337-133 | FFiled 1054 210 225 | Pragge 440 of f 445 | HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | it. Yeah. |
|----|---|
| 2 | BY MR. SCHER: |
| 3 | Q. On page 3, there is a section, |
| 4 | Section 10, advertisements. |
| 5 | Can you let me know when you're there. 15:50:10 |
| 6 | A. Yes, I can see. |
| 7 | Q. And you see that it says, [as read]: |
| 8 | "Company_short_name reserves the right to |
| 9 | display advertisements on your content unless |
| 10 | you have purchased an ad-free upgrade or a 15:50:25 |
| 11 | services account." |
| 12 | Do you see that? |
| 13 | A. Yes. |
| 14 | Q. Did anyone ever pay for an ad-free |
| 15 | upgrade? 15:50:36 |
| 16 | A. I don't know. |
| 17 | Q. Did anyone ever pay for a services |
| 18 | account? |
| 19 | A. I don't know. |
| 20 | Q. Was there ever an option for anyone to 15:50:44 |
| 21 | pay for anything on hub.open.ai? |
| 22 | MR. CUNNINGHAM: Objection. Calls for |
| 23 | speculation. |
| 24 | THE WITNESS: I don't know. |
| 25 | MR. WILSON: Join. 15:50:55 |
| | Page 256 |

Casse 44 2233 cov 00339118-YICHR | Domoument 22337-133 | FFiled 1054 2110 225 | Pragge 442 Loff 445 | HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | THE WITNESS: I don't know. | |
|----|---|--|
| 2 | BY MR. SCHER: | |
| 3 | Q. You're the core engineer of Mr. Ravine's | |
| 4 | team; right? | |
| 5 | A. Yes. 15:51:05 | |
| 6 | Q. Did you ever design anything for anyone | |
| 7 | to pay for anything on hub.open.ai? | |
| 8 | A. I don't remember. | |
| 9 | Q. Do you remember if there was ever an | |
| 10 | option for anyone to pay for anything on any 15:51:21 | |
| 11 | open.ai website or subdomain? | |
| 12 | A. I don't remember. | |
| 13 | Q. So sitting here today, you cannot | |
| 14 | remember if there was ever an option for anyone to | |
| 15 | pay anything on an open.ai website; correct? 15:51:47 | |
| 16 | A. Yeah, correct. I can't I can't recall | |
| 17 | anything like this. | |
| 18 | Q. Were any ads ever displayed on any | |
| 19 | open.ai website or subdomain? | |
| 20 | MR. CUNNINGHAM: Objection. Calls for 15:52:03 | |
| 21 | speculation. | |
| 22 | MR. WILSON: Join. | |
| 23 | THE WITNESS: I don't know. | |
| 24 | BY MR. SCHER: | |
| 25 | Q. You never designed anything for an 15:52:15 | |
| | Page 257 | |

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| 1 | open.ai website to display ads; correct? | |
|----|--|----------|
| 2 | A. Yes. I don't remember anything like | |
| 3 | this. | |
| 4 | MR. SCHER: All right. I just uploaded | |
| 5 | Exhibit 41, but I accidentally did not | 15:52:44 |
| 6 | include a stamp again. So I would ask that | |
| 7 | Veritext add one after the deposition is | |
| 8 | over. | |
| 9 | (Exhibit 41 was received and marked | |
| 10 | for identification on this date and is | 15:52:58 |
| 11 | attached hereto.) | |
| 12 | BY MR. WILSON: | |
| 13 | Q. Can you please let me know when you've | |
| 14 | opened Exhibit 41. | |
| 15 | A. Yeah, I could see it. | 15:53:07 |
| 16 | Q. Do you recognize this document? | |
| 17 | A. Yes. It looks like FAQ section from Open | |
| 18 | AI app. | |
| 19 | Q. It's the FAQ section from hub.open.ai? | |
| 20 | A. Yes. | 15:53:24 |
| 21 | Q. Did you write any of the FAQ section of | |
| 22 | hub.open.ai? | |
| 23 | A. I don't remember. | |
| 24 | Q. Do you remember who wrote the FAQ section | |
| 25 | of hub.open.ai? | 15:53:37 |
| | | Page 258 |

Casse 44 2233 cov 003391183-YIGHR | Domoument 123837-133 | Friend 0054 2110 1225 | Pragge 4413 of 1415 | HIGHLY CONFIDENTIAL - ATTORNEYS EYES ONLY

| 1 | *** ERRATA SHEET *** |
|----|---|
| 2 | NAME OF CASE: OPENAI, INC VS. OPEN ARTIFICIAL |
| 3 | INTELLIGENCE, INC |
| 4 | DATE OF DEPOSITION: DECEMBER 6, 2024 |
| 5 | NAME OF WITNESS: NIKITA GAER |
| 6 | Reason Codes: |
| 7 | 1. To clarify the record. |
| 8 | 2. To conform to the facts. |
| 9 | 3. To correct transcription errors. |
| 10 | |
| 11 | PAGE LINE FROM TO REASON |
| 12 | |
| 13 | |
| 14 | |
| 15 | |
| 16 | |
| 17 | |
| 18 | |
| 19 | |
| 20 | |
| 21 | Subscribed and sworn before me |
| 22 | Thisday of,20 |
| 23 | |
| 24 | |
| 25 | (Notary Public) My Commission Expires: |
| | Page 278 |

| age | Line | From | То | Reason |
|-----|------|---|--|--------|
| 32 | 24 | Actually, I can give you exact numbers because I | Actually, I can't give you exact numbers because I | 3 |
| 34 | 19 | be, like, a discussion of some years or some | be, like, a discussion of something or some | 3 |
| 40 | 16 | Let me think. So there was Badim | Let me think. So there was Vadim | 3 |
| 40 | 25 | definitely was a guy named Badim . | definitely was a guy named Vadim . | 3 |
| 41 | 2 | Zagorschi, that was the person who worked on some | Zagorski, that was the person who worked on some | 3 |
| 41 | 6 | Yeah, so so Victor Zagorschi. There | Yeah, so so Victor Zagorski. There | 3 |
| 41 | 7 | was Badim . There was Andrey. Yeah, I think those | was Vadim . There was Andrey. Yeah, I think those | 3 |
| 45 | 2 | Open Al logo on it. They've already called it | Open Al logo on it. We've already called it | 3 |
| 45 | 14 | At some point, they put a password put | At some point, we put a password put | 3 |
| 46 | 11 | Wait, wait. Sorry. Can you identify | Wait, wait. Sorry. Can you clarify | |
| 47 | 2 | A. So, I mean, after they put this behind a | A. So, I mean, after we put this behind a | |
| 47 | 19 | least one person. There was Anton Pavlovsky that | least one person. There was Anton Frolovsky that | |
| 50 | 10 | We deployed it to decentralize at Open | We deployed it to decentralized.open.ai | |
| 51 | 1 | project called editpad [phonetic], so which we | project called etherpad [phonetic], so which we | |
| 51 | | that. So we had a talks functionality here. We | that. So we had a tasks functionality here. We | |
| 51 | | technically run the code on the models on this | technically run the code of the models on this | |
| 54 | | it's really innovative process for this time. And | it's really innovative project for this time. And | |
| 55 | | I said, we use editpad [phonetic] project. So we | I said, we use etherpad [phonetic] project. So we | |
| 55 | | So then was the resign . So we | So then was the design . So we | |
| 55 | | implemented nice resign nice modern design, | implemented nice design nice modern design, | |
| 56 | | felt on the rewards system, which I think was | worked on the rewards system, which I think was | |
| 63 | | There was Peter. There was Yunis . There was | There was Peter. There was Joonas . There was | |
| 64 | | A. There was also Dennis and Yunis . Yunis | A. There was also Dennis and Joonas . Joonas | |
| 67 | | allows you to think happen, but you have to build | allows you to things happen, but you have to build | |
| 71 | | So basically, it's a three main thesis . It's a | So basically, it's a three main things . It's a | |
| 71 | | model. Second thing is API and date storage. And | model. Second thing is API and data storage. And | |
| 77 | | inside, like, books . And basically yeah, it's, | inside, like, textbox . And basically yeah, it's, | |
| 90 | | Diffusion. He was selling what's like, he | Diffusion. He was saying what's like, he | |
| 93 | | A. So it's available on LinkedIn only. | A. So it's available on internally only. | |
| 99 | | like service-side developer, like, who we work | like server-side developer, like, who we work | |
| 99 | | A. It's here. He. | A. It's he . He. | |
| 100 | | like, service provider called bad grid [ph] or | like, service provider called ByteGrid [ph] or | |
| 101 | | A. Yeah. It's, again, relates to bad grid , | A. Yeah. It's, again, relates to ByteGrid , | |
| 145 | | April in May 2024 . So that's how we met. | April in May 2014 . So that's how we met. | |
| 146 | | A. Sorry. Can you verify what you mean? | A. Sorry. Can you clarify what you mean? | |
| 153 | | A. I don't I can tell I mean, I don't | A. I don't I can't tell I mean, I don't | |
| 154 | | think we just most likely had couple of sessions , | think we just most likely had couple of issues, | |
| 175 | | remember when they put it behind the password. So | remember when we put it behind the password. So | |
| 182 | | earlier today, Anton Pavlovsky , helped a little | earlier today, Anton Frolovsky , helped a little | |
| 189 | | editpad. So I had to rewrite most of it to makes | etherpad. So I had to rewrite most of it to makes | |
| 190 | | like, not part which could run the code. So lot | like, notebook which could run the code. So lot | |
| 194 | | project which we had here initially when we raise | project which we had here initially when we released | |
| 198 | | released on this stage in Open AI. | released on this staging.open.ai. | |
| 202 | | it was original Boom, but then they put it to the | it was original Boom, but then we put it to the | |
| 202 | | Open Al. So they separated it. | Open Al. So we separated it. | |
| 202 | | A. Yeah. Yes. It's actually dirty . Yeah, | · | |
| 229 | | it's actually quite dirty , but I could guess it's | A. Yeah. Yes. It's actually blurry . Yeah, it's actually quite blurry , but I could guess it's | |
| 252 | | A. So as I saw before so it was based on | A. So as I said before so it was based on | |
| 252 | | A. I can tell it was designed for | A. I can't tell it was designed for | |
| | | G | _ | |
| 274 | 13 | approach. So they change it this way, and we | approach. So we change it this way, and we | |

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| 1 | STATE OF CALIFORNIA) |
|----|--|
| 2 | (ss. |
| 3 | COUNTY OF LOS ANGELES) |
| 4 | |
| 5 | I, RENEE HARRIS, do hereby certify that I |
| 6 | am a licensed Certified Shorthand Reporter, duly |
| 7 | qualified and certified as such by the State of |
| 8 | California; |
| 9 | That prior to being examined, the witness named |
| 10 | in the foregoing deposition was by me duly sworn |
| 11 | to testify to tell the truth, the whole truth, and |
| 12 | nothing but the truth; |
| 13 | That the said deposition was by me recorded |
| 14 | stenographically; |
| 15 | And the foregoing pages constitute a full, |
| 16 | true, complete and correct record of the testimony |
| 17 | given by the said witness; |
| 18 | That I am a disinterested person, not |
| 19 | being in any way interested in the outcome of said |
| 20 | action, or connected with, nor related to any of |
| 21 | the parties in said action, or to their respective |
| 22 | counsel, in any manner whatsoever. |
| 23 | M. |
| 24 | Renee Harris, CSR, CCR, RPR |
| | CA CSR No. 14168, |
| 25 | NJ CCR No. 30XI00241200 |
| | |
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